



MASSACHUSETTS  
GENERAL HOSPITAL

PSYCHIATRY ACADEMY

# Motivational Interviewing

John F. Kelly, Ph.D.



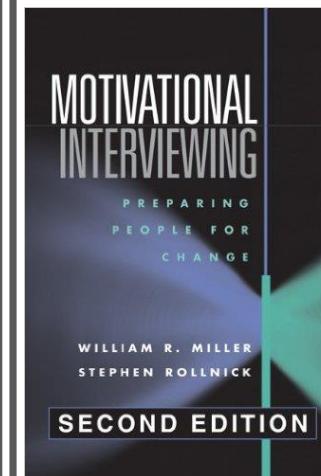
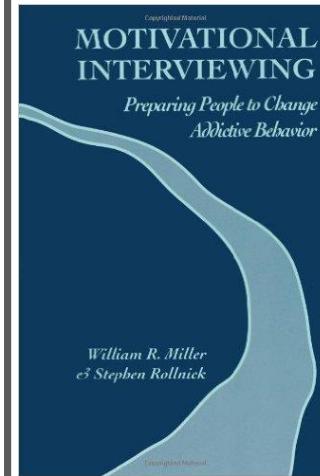
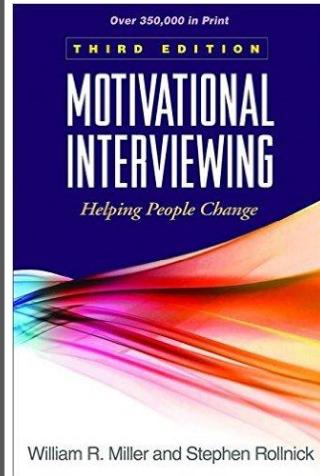
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# Disclosures

- Neither I nor my spouse/partner has a relevant financial relationship with a commercial interest to disclose.



What people really need is a good listening to...

# Motivational Interviewing (MI)

What is MI and its assumptions?

What are the clinical strategies involved in MI and what is its “spirit”?

How effective is MI as an intervention for SUD?

How does it work?

Some conclusions...

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PRECONTEMPLATIVE	CONTEMPLATIVE	PREPARATION	ACTION	MAINTENANCE
<p>In this stage, individuals are not even thinking about changing their behavior. They do not see their addiction as a problem: they often think others who point out the problem are exaggerating.</p> 	<p>In this stage people are more aware of the personal consequences of their addiction &amp; spend time thinking about their problem. Although they are able to consider the possibility of changing, they tend to be ambivalent about it.</p> 	<p>In this stage, people have made a commitment to make a change. This stage involves information gathering about what they will need to change their behavior.</p> 	<p>In this stage, individuals believe they have the ability to change their behavior &amp; actively take steps to change their behavior.</p>	

## HARM REDUCTION

- \* Emergency Services (i.e. Narcan)
- \* Needle Exchanges
- \* Supervised Injection Sites

## SCREENING & FEEDBACK

- \* Brief Advice
- \* Motivational Interventions

## SCREENING, BRIEF INTERVENTION, & REFERRAL TO TREATMENT (SBIRT)



## CLINICAL INTERVENTION

- \* Phases/Levels (e.g., inpatient, residential, outpatient)
- \* Intervention Types
  - Psychosocial (e.g. Cognitive Behavioral Therapy)
  - Medications: Agonists (e.g. Buprenorphine, Methadone) & Antagonists (Naltrexone)

## NON-CLINICAL INTERVENTION

- \* Self-Management/Natural Recovery (e.g. self-help books, online resources)
- \* Mutual Help Organizations (e.g. Alcoholics Anonymous, SMART Recovery, Lifeling Secular Recovery)
- \* Community Support Services (e.g. Recovery Community Centers, Recovery Ministries, Recovery Employment Assistance)

## CONTINUING CARE (3m- 1 year)

- Recovery Management
- Checkups, Telephone Counseling, Mobile Applications, Text Message Interventions

## RECOVERY MONITORING (1-5+ yrs)

- Continued Recovery Management Checkups, therapy visits, Primary Care Provider Visits

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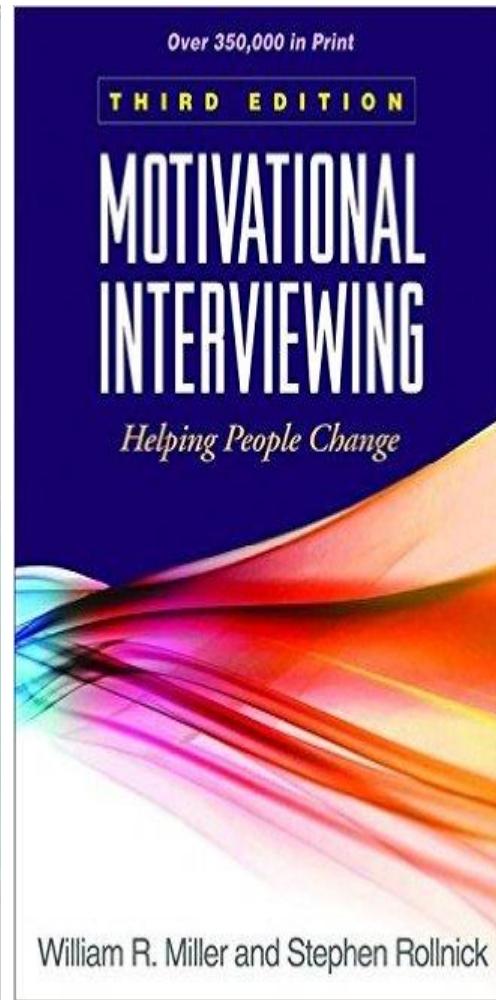
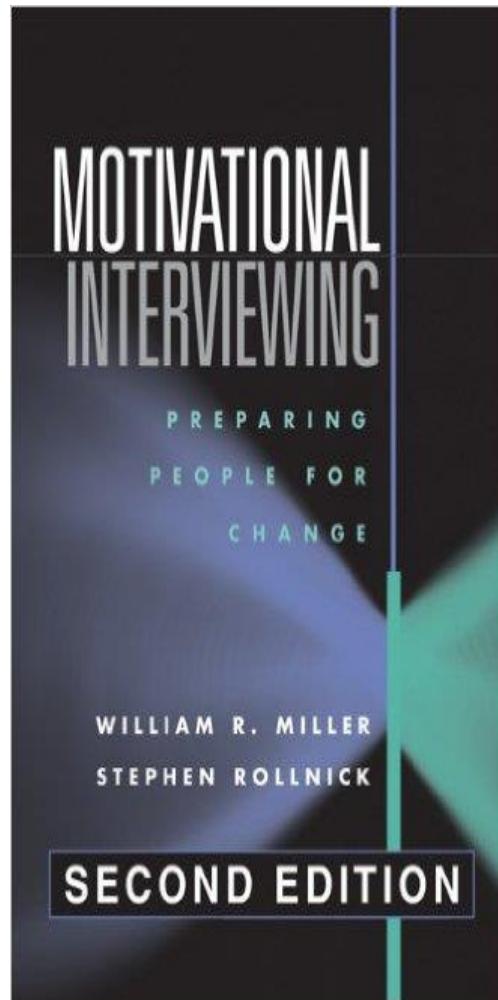
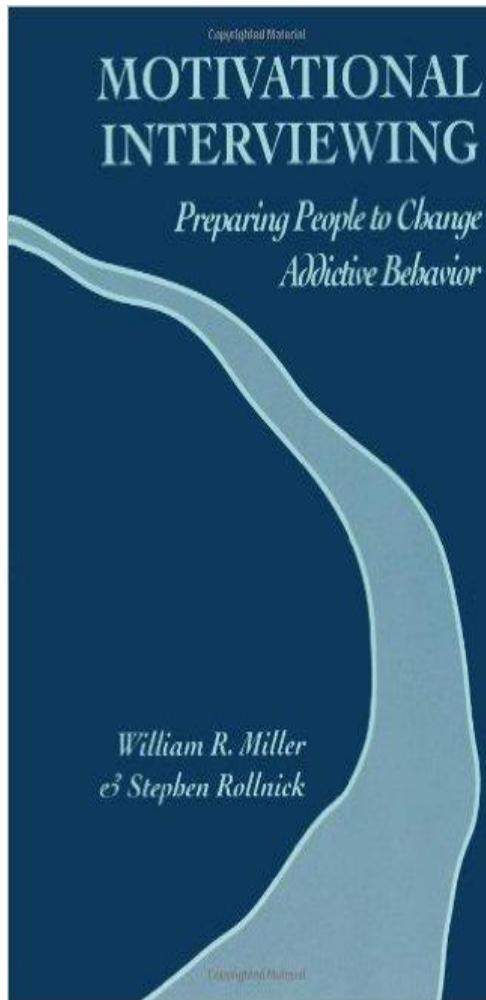
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# What is MI?

**“A collaborative, goal-oriented style of communication with particular attention to the language of change. It is designed to strengthen personal motivation for and commitment to a specific goal by eliciting and exploring the person’s own reasons for change within an atmosphere of acceptance and compassion”.**

**-Miller and Rollnick, MI 3rd Edition, 2013**

**It can be a helpful general style of “being with” and counseling patients and has been developed as discrete therapies (e.g., Motivational Enhancement Therapy).**

MI has both relational (accurate empathy/unconditional positive regard) and technical/directive (designed to clarify and amplify “change talk”) elements

# Assumptions of MI

- People often ambivalent about change, but labeled pathologically as “resistant” “in denial” “oppositional”
- When a helper offers directive expert advice about change to ambivalent individuals, person likely to argue the opposite
- Giving advice/education alone rarely effective in helping people change
- **People have experience, skill, and innate wisdom to facilitate effective change**
- All people have innate worth; capable and do best when making **own decisions**
- Creating the right conditions for change catalyzes transformation (origins in self-regulation and humanistic/patient-centered psychological theories of change)
- **Motivation is a clinician rather than a patient issue**

# Motivational Interviewing (MI)

What is MI and its assumptions?

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How effective is MI as an intervention for SUD?

Does it work the way we think it does?

Some conclusions...

# MI Practice Principles (READS)

**R** Roll with resistance

---

**E** Provide empathic understanding

---

**A** Avoid argumentation

---

**D** Develop discrepancies between patient's own values and drinking behavior

---

**S** Support patient's self-efficacy

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# Essential Practice Components (FRAMES)

<b>F</b>	Provide <b>Feedback</b>	<i>"Your results show..."</i>
<b>R</b>	Encourage personal <b>Responsibility</b>	<i>"It's up to you. It's your choice"</i>
<b>A</b>	Give clear <b>Advice</b>	<i>"I would strongly recommend..."</i>
<b>M</b>	Provide a choice or <b>Menu</b> of options	<i>"There are a number of things that you might do..."</i>
<b>E</b>	Be <b>Empathic</b> and supportive	<i>"Change can be tough but you don't have to do it alone..."</i>
<b>S</b>	Support for <b>Self-Efficacy</b>	<i>"You can do this..."</i>

# Four Processes of MI

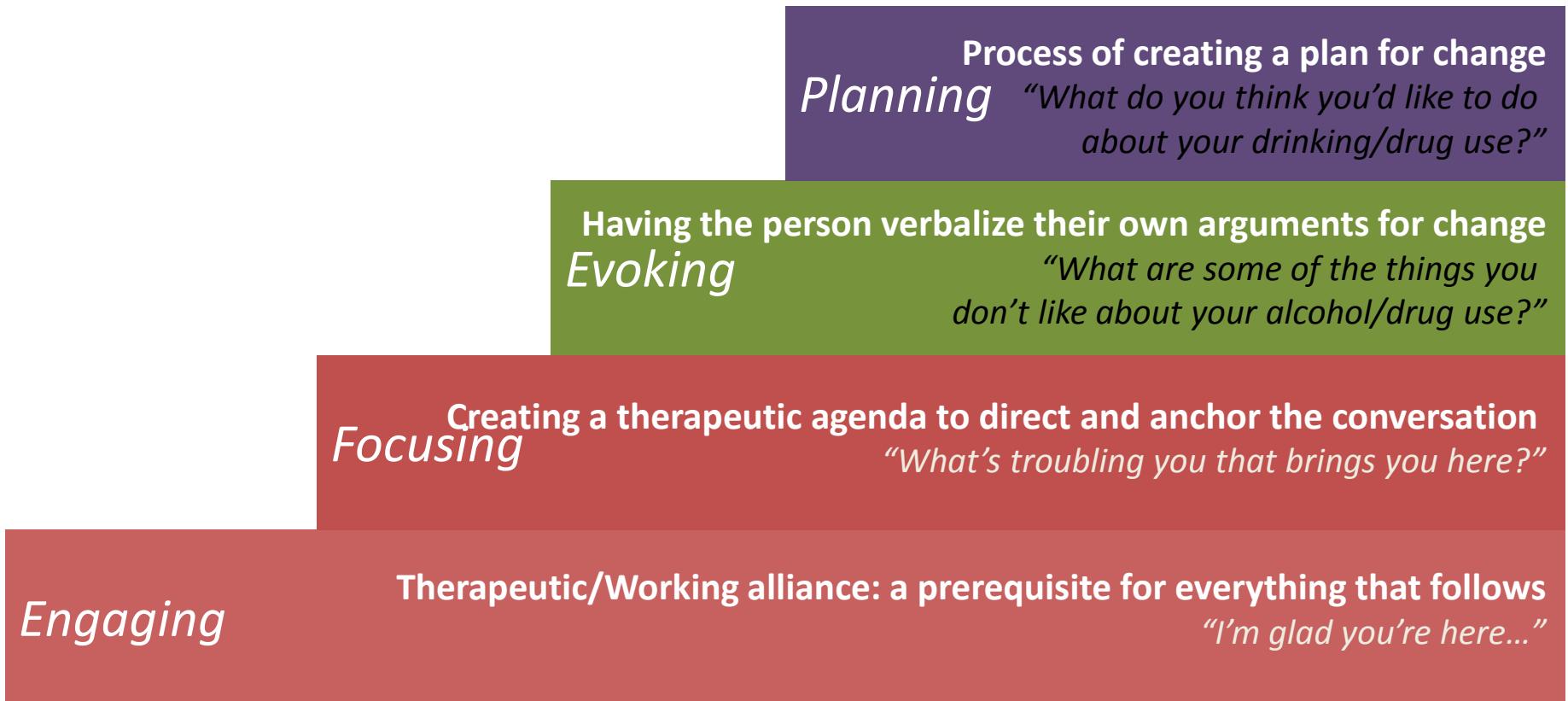
*Planning*

*Evoking*

*Focusing*

*Engaging*

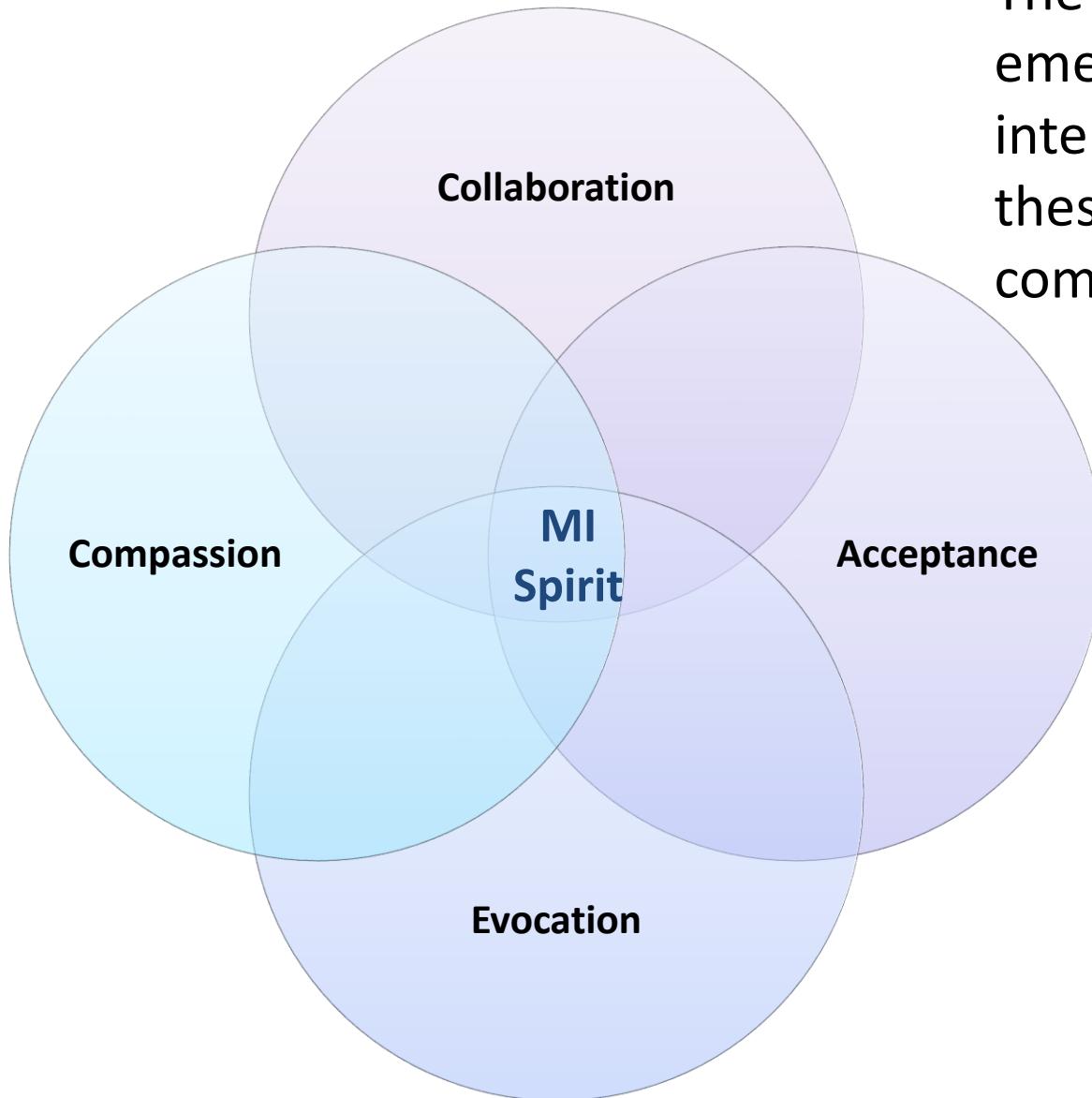
# Four Processes of MI



# Motivational Interviewing and MI “spirit”

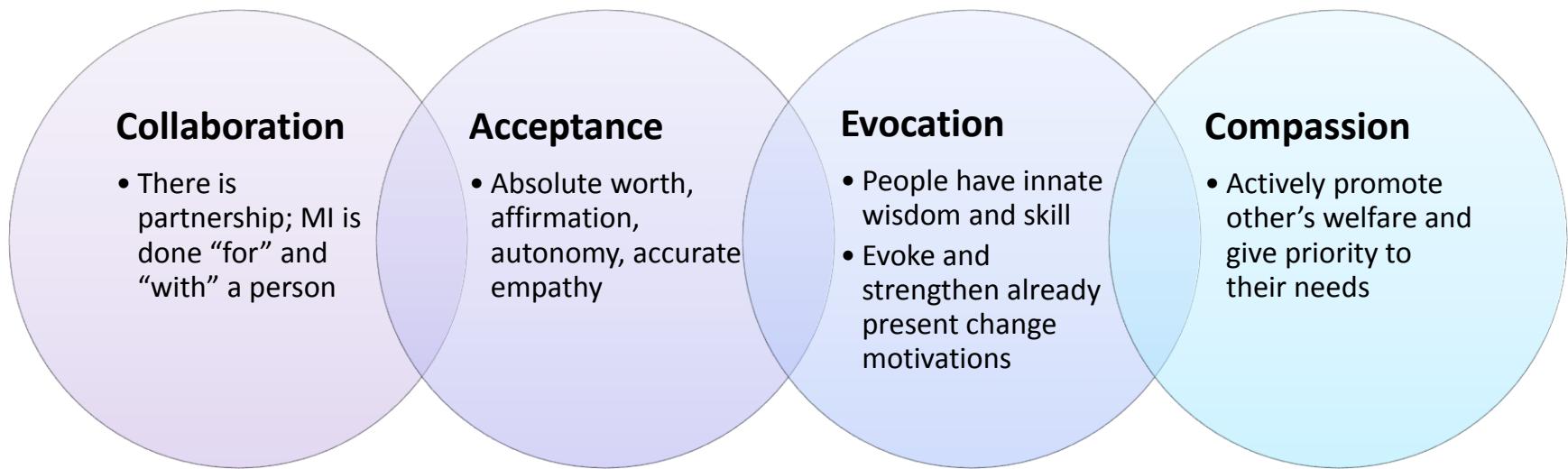
- MI is now recognized more to be not a strong “technical” therapy like CBT; but rather a formalized contextual therapy with specific goals
- If delivered in too technical a way diminishes benefits- it'll be the words without the music (it should be more like improvisational theatre instead of a scripted play)
- It is based in genuineness and client-centered positive regard...
- MI Spirit came about after meta-analysis (Hettema et al, 2005) found that when clinicians stuck to a therapist MI manual the effect sizes were much lower...

# The Underlying Spirit of MI



The MI spirit emerges at the intersection of these four components

# MI “Spirit”: Four Key Interrelated Elements



# Core MI Technical Skills

## Open-Ended Questions

**GOAL:**

- Elicit information/verbalization

*"What is it that concerns you about your drug use?"*

## Affirming

**GOAL:**

- Support self-efficacy/confidence

*"This is hard for you."*

## Reflective Listening

**GOAL:**

- Accurate empathy
- Engagement

*"So, your mother really irritates you."*

## Summarizing

**GOAL:**

- Accurate empathy
- Engagement

*"You've said a number of things, so let me see if I'm understanding you right, you..."*

## Informing and Advising (with permission)

**GOAL:**

- Help build knowledge, skill, self-efficacy

*"Could I have your permission to make a suggestion about how you might do that?"*

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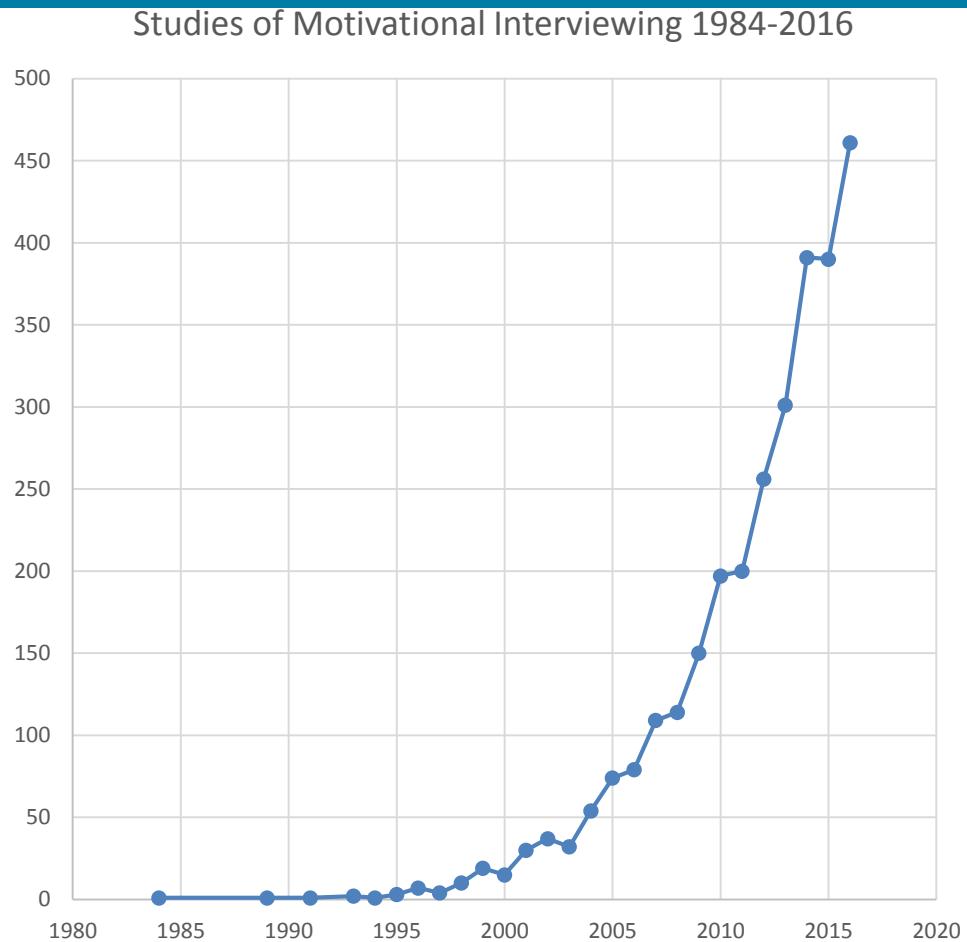
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Some conclusions...

# Outcome Research on MI

- Since 1990, the number of publications on MI has doubled about every 3 years
- Currently >1200 publications, including 200+ randomized clinical trials
- Meta-analyses generally conclude that MI has small to medium effect sizes across variety of outcomes, with most examining addiction



# High degree of variability in effects across studies, sites, clinicians

- Many RCTs have found no meaningful effect related to MI (Carroll et al, 2006; Carroll et al, 2001; Miller et al, 2003; Foxcroft et al, 2014)
- Substantial therapist effects remain in some well-controlled trials of manual-guided, closely-supervised MI interventions (Miller et al, 1993; Project MATCH 1998c)
- Multisite trials have also found site-by-treatment interaction effects: sometimes with **no overall significant effect when averaging across sites** (Ball et al, 2008)
- Seems to work somewhat for alcohol but not for other drugs when added to standard treatment either in retaining or improving outcomes (Donovan et al, 2001; Miller et al, 2003; Rosenhow et al, 2004; Carroll et al, 2006).
- Has no meaningful additional benefit for young adults with alcohol misuse (Cochrane Review with 66 trials of MI; Foxcroft et al, 2014)
- Unclear what level of MI fidelity is “good enough” to promote change; too technical adherence to “manualized approach” may diminish effects.
- May simply be a decrease in unhelpful counselor responses – possible that MI training improves outcomes if it suppresses counter therapeutic responses (reduces counter change talk)
- Similar overall efficacy despite the difference in treatment intensity

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# Motivation Hypothesis Causal Chain Analysis – Project MATCH

**Hypothesis: Clients low in motivational readiness to change would have better outcomes in MET than in CBT**

## RESULTS:

- **No supporting evidence for any proposed treatment specific causal mechanisms**
- Treatments did not differentially influence working alliance, coping, or attendance during treatment, motivational readiness to change, processes of change, or abstinence self-efficacy
- In general, degree of overall treatment attendance (irrespective of which treatment) and working alliance predicted outcomes
- Strong support across all treatments for initial motivation on working alliance and alcohol use over 1yr follow-up and 3yr follow-up

## Dismantling MI Components (Morgenstern et al, 2017)

Goal: To test the causal role of key hypothesized active ingredients and mechanisms of change within MI in reducing alcohol use among individuals with Moderate-Severe AUD.

### Self-Change

- Decision-making, motivation, actions individuals bring to treatment as part of change episode
- Impact of study procedures (e.g., assessment reactivity)

### Spirit-only MI (*relational/common therapy factors*)

- Therapist stance (warmth, egalitarianism)
- Extensive use of reflective listening
- Avoid MI-inconsistent behaviors
- Avoid MI specific bxs (amplified/double-sided reflections, advice, change plan)

### MI specific elements (*relational+ directive/strategic factors*)

- Enhance discrepancy (structured feedback, advice, double-sided reflections)
- Elicit & reinforce positive **change talk** (change plan)

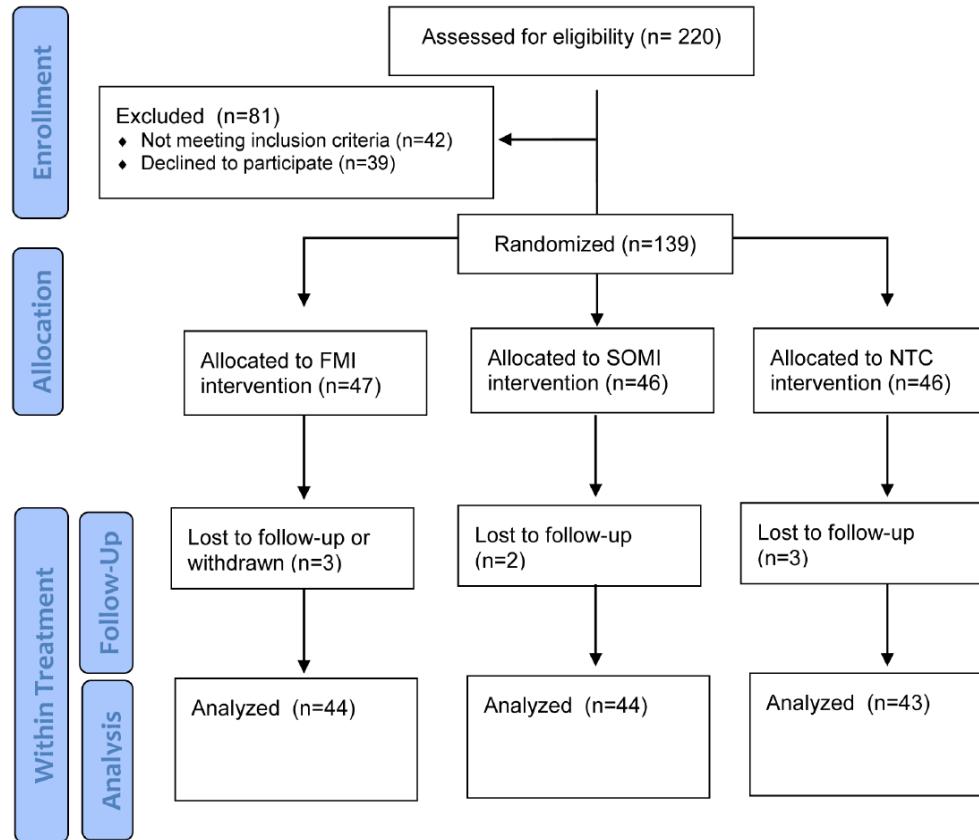
# Self-Change Condition

**Self Change (SC)**—incorporated elements hypothesized in MI literature to contribute to change, but not associated with relational or technical active ingredients.

included normative feedback, personal responsibility, and efforts to foster self-efficacy.

After receiving normative feedback, participants were asked to attempt to change on their own during the next eight weeks; told that research had shown that some individuals could reduce their drinking without professional help; and that completion of the IVR as well as research interviews might prove helpful in that effort. Offered treatment at end of 8 wk period.

# Dismantling Study Design: Recruitment, Treatment Assignment and Follow-up Diagram



**Figure 1.**  
Study flow and attrition.

# Condition Differences Related to Fidelity and Discriminability

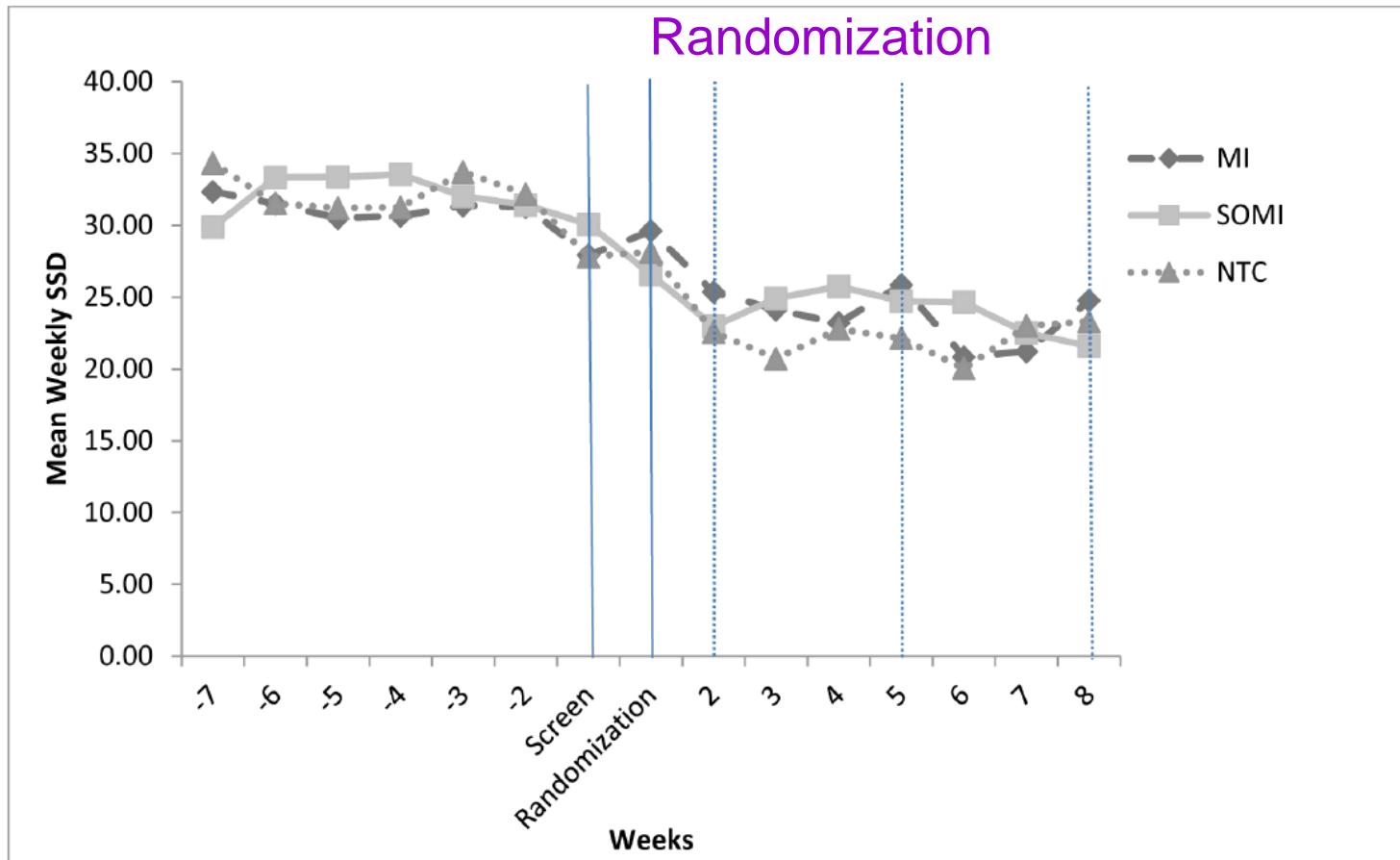
FMI and SOMI carefully coded by independent raters for adherence and competence of all (FMI) vs relational only (SOMI) presumed therapeutic components with strong support for fidelity to each approach....

FMI used more direction and elicited much more change talk than SOMI; SOMI was found to be more empathic...

**Table 2.**  
Condition differences related to fidelity and discriminability

	MI <i>M</i> ( <i>SD</i> )	SOMI <i>M</i> ( <i>SD</i> )	<i>p</i> -value
MITI 3.1.1. <sup>a</sup>	(N = 30)	(N = 24)	
MI Adherent Behaviors <sup>b</sup>	7.6 (3.9)	6.6 (4.4)	NS
Global Scales			
Autonomy/Support	4.4 (.6)	4.4 (.6)	NS
Empathy	4.5 (.5)	4.0 (.3)	< .01
Collaboration	4.3 (.8)	4.5 (.6)	NS
Direction	4.6 (.7)	2.6 (1.4)	<.001
Evocation	4.2 (.7)	3.1 (1.2)	<.001
% of sessions with score over 4 in all 5 global scales	83.9	56.8	--
% of sessions with score of 4 in 3 global scales (Autonomy/support, empathy and collaboration)	87.1	94.7	--
Structured Activities <sup>c</sup>	3.9 (2.9)	0.43 (0.8)	<.001
DARN-C Coding <sup>d</sup>	(N = 25)	(N = 24)	
Commitment Talk Frequency			
Change	20.2 (7.05)	12.3 (5.09)	<.001
Neutral	5.98 (2.43)	4.52 (2.78)	NS
Sustain	14.2 (5.66)	15.3 (6.77)	NS
Commitment Talk Strength <sup>e</sup>	0.39 (0.40)	-0.08 (0.47)	<.001
DARN Talk Frequency			
Change	79.7 (24.6)	50.0 (21.5)	<.001
Neutral	28.1 (11.4)	24.4 (10.7)	NS
Sustain	37.7 (15.4)	34.7 (16.0)	NS
DARN Talk Strength <sup>e</sup>	1.01 (0.34)	0.57 (0.59)	<.005

# Alcohol use trajectories by Treatment Condition



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# Conclusion

- MI is an evidence-based intervention with effectiveness that varies widely across counselors, studies, and sites within studies.
  - It is currently unclear what exactly the active ingredients of MI are
- Fidelity of delivery is an important consideration in understanding outcomes of MI and should be well documented in studies using reliable observation codes.
- The “technical” aspects of MI may not be the specific active ingredients and the causal chain as to how it works has some support, but is largely unsupported
- MI encompasses useful therapeutic techniques to reduce resistance to change and help people change when patients are ambivalent about change; derivatives (MET) are often on par with other active treatment approaches in affecting change in substance use.



*Enhancing Recovery Through Science*



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