



Clinical Approaches to Treatment Resistance in Schizophrenia

3RD INTERNATIONAL PSYCHOPHARMACOLOGY:
DEPRESSION & SCHIZOPHRENIA

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Disclosures

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Outline

1. Treatment-resistant schizophrenia (TRS)

- ✓ Biology
- ✓ Diagnosis

2. Prevention

- ✓ Stage-specific care

3. Treatment

- ✓ Clozapine trial
- ✓ Augmentation strategies
- ✓ Medical care

4. Reflections: prognosis

- ✓ Psychosocial support
- ✓ Humanism



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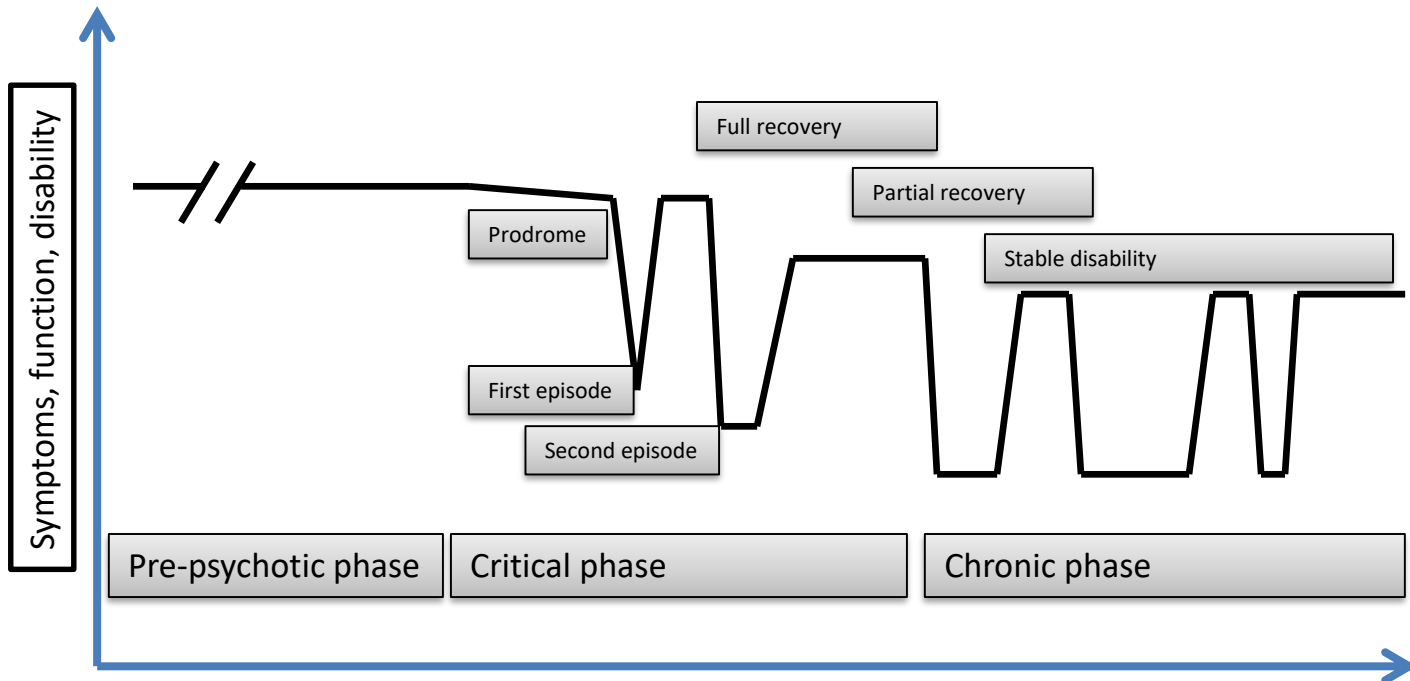


Erich Lindemann Mental Health Center
Boston, Massachusetts



TREATMENT-RESISTANT SCHIZOPHRENIA

Typical course of schizophrenia



Freudenreich O. Psychotic Disorders. Springer 2020.

Scope of the problem

20-30% of patients with schizophrenia have limited response to first-line antipsychotics.

At least 10% of patients with schizophrenia have no response to clozapine.

The tragedy of life is what dies inside a man while he lives –
the death of genuine feeling,
the death of inspired response,
the death of the awareness
that makes it possible to feel
the pain or the glory of other
men in oneself.

-Albert Schweitzer, 1875-1965

Biology of treatment-resistance

- Heterogeneous pathophysiology and biotypes
 - “The group of treatment-resistant schizophrenias”¹
- Time course²
 - Resistance from the “get-go”
 - Evolving over time and related to relapse
- Mechanism³
 - Dopamine supersensitivity⁴
 - Neurotransmitters other than dopamine
 - Inflammation

¹Kinon BJ. Front Psychiatry. 2019;9:757.

²Howes OD et al. Am J Psychiatry. 2017;174(3):216-229.

³Potkin SG et al. npj Schizophrenia (2020) 6:1.

⁴Chouinard G et al. Psychother Psychosom 2017;86:189–219.

Treatment-resistant schizophrenia (TRS)

- Consensus guidelines on diagnosis and terminology developed by TRRIP Working Group
 - Clinical sub-specifiers for positive, negative, cognitive symptom domains
 - Time-course (i.e., early, medium, late onset)
 - Ultra-treatment resistant (i.e., clozapine)
- Minimum requirements for TRS:
 - Current symptoms
 - Symptom threshold at least moderate severity (rating scale!)
 - Symptom duration at least 12 weeks
 - Functional impairment at least moderate (rating scale!)
 - Adequate treatment
 - At least two trials of at least 6 weeks of at least 600 CPZ-EQ
 - At least 80% adherence

TRRIP = Treatment Response and Resistance in Psychosis

Howes OD et al. *Am J Psychiatry*. 2017;174(3):216-229. Campana M et al. *Schizophr Res*. 2021;228:218-226.

Kane JM et al. *J Clin Psychiatry*. 2019 Mar 5;80(2). pii: 18com12123. [Clinical Guidance]

PREVENTION



Clinical staging in psychiatry

STAGE	Definition	Clinical features
0	Asymptomatic subjects	Not help seeking No symptoms but risk
1a	“Help-seeking” subjects with symptoms	Non-specific anxiety/depression Mild-to-moderate severity
1b	“Attenuated syndromes”	More specific syndromes incl. mixed At least moderate severity
2	Discrete disorders	Discrete depr/manic/psych/mixed sy Moderate-to-severe symptoms
3	Recurrent or persistent disorder	Incomplete remission Recurrent episodes
4	Severe, persistent and unremitting illness	Chronic deteriorating No remission for 2 years

Hickie IB et al. Early Interv Psychiatry. 2013;7(1):31-43.

See editorial: Shah JL. JAMA Psychiatry. 2019;76(11):1121-3.

Staging model of treatment

Treatment as prevention

- Rational for staging
 - Avoid progression to disease stages where only amelioration is possible
 - Better response to treatments in early stages
 - Earlier treatments are less aggressive
- Principles
 - Early intervention to treat patients as early as possible in the disease course
 - Phase-specific care that tailors the interventions to the patient's needs
 - Stepped care that adjusts treatment intensity based on response
- Works best for “transdiagnostic psychiatry” in early stages

McGorry PD and Nelson B. World Psychiatry. 2019;18(3):359-360.

Shah JL et al. World Psychiatry. 2020;19(2):233-242. [International Consensus Statement]

Stage-specific care

Stage 1 (Clinical high-risk)

- High index of suspicion (functional decline, withdrawal, distress)
- Offer needs-based psychosocial care
- Treat identifiable comorbidities; avoid antipsychotics

Stage 2 (first-episode psychosis)

- Reduce duration of untreated psychosis
- Use low doses of antipsychotics to minimize side effects
- Offer coordinated specialty care
- Offer LAIs and clozapine if no symptomatic remission in 3-6 months

Stage 3 and 4

- Retain optimistic stance
- Focus on quality of life and vocational rehabilitation
- Pay attention to physical health

Cost of relapse in schizophrenia

- Relapse has **psychosocial toxicity**
 - Loss of job
 - Derailed education
 - Criminal problems
 - Suicide
 - Loss of reputation
- Relapse might be biologically harmful¹
 - Emergent treatment non-response in 16%
- Sustained remission is basis for accrued treatment benefits over time
- Treatment of choice: long-acting injectable antipsychotics

Relapse
prevention is
key goal
of schizophrenia
care

¹Emsley R et al. J Clin Psychopharmacol. 2013;33(1):80-3.

TREATMENT



Establishing TRS – clinical approach

Assumption: correct diagnosis of schizophrenia

Persistent symptoms ...

- ✓ Characterize cross-sectional symptom cluster profile
- ✓ Characterize disability

... despite adequate treatment

- ✓ Rule-out pseudo-resistance: substance use and poor adherence
- ✓ Establish adequacy of prior treatment with first-line antipsychotics (history review)
- ✓ Consider your own prospective LAI trial

Common reasons for non-response

“Pseudo-resistance”

- Diagnosis incorrect
- ***Substance use***
- Insufficient dose
- Insufficient duration
- Unusual genetic metabolism
- Drug interactions
- ***Insufficient adherence***

True biological non-response

- Treatment-resistance to usual treatments
- Target symptom not responsive to selected intervention

***1 in 3 TRS
patients
have
subtherapeutic
drug levels.**

Based on: Freudenreich O et al. Facing Serious Mental Illness. MGH Psychiatry Academy, 2021.

*McCutcheon R et al. Acta Psychiatr Scand. 2018;137(1): 39–46.

Stepped care for TRS

Treatment-resistant schizophrenia

- Two failed antipsychotic trials

Clozapine trial

- Timely and optimal clozapine trial

Clozapine augmentation

- Judicious use of add-on treatments

Clozapine TDM

- Clozapine metabolism
 - Main P450 enzyme 1A2
 - Large inter-individual variability between dose and drug level
 - Literature is based on clozapine levels, not active moiety
 - Norclozapine has 10% activity of clozapine
- Therapeutic drug monitoring (TDM)
 - Substantial research into clozapine TDM
 - For routine outpatient care
 - 200 to 300 ng/mL sufficient for most
 - For refractory psychosis:
 - Upper limit of efficacy is not established. Target 450 ng/mL
 - Higher dose/blood level increases seizure risk

Freudenreich O. Current Psychiatry. 2009 March;8(3):78. [Pearls]

Schoretsanitis G et al. J Clin Psychiatry. 2020 May 19;81(3):19cs13169. [Consensus statement]



TRS and clozapine – dirty little secrets

- Clozapine efficacy is limited
 - Spectrum of response
 - Rarely restitutio ad integrum
 - Partial response (average 25% symptom reduction) is typical¹
 - Ineffective in perhaps as many as 50% of patients with TRS²
 - Not effective for negative or cognitive symptoms which drive functional impairments
 - Not effective if risk for (partial) non-adherence is high
- Medical disease burden is high
 - Diabetes, hyperlipidemia, intestinal obstruction³
 - Underappreciated: aspiration pneumonia⁴

¹Siskind D et al. Can J Psychiatry. 2017;62:772-777. ²Porcelli S et al. Neuropsychopharmacol. 2012;22:165-182.

³Stroup TS et al. Am J Psychiatry. 2016;173:166-73. ⁴De Leon H et al. World Psychiatry. 2020;19(1):120-1.

Clozapine augmentation

- ECT has good efficacy for TRS
 - One half to two thirds of patients improve if ECT is added¹
 - Is this truly an augmentation strategy?
- Medication augmentation strategies are limited^{2,3}
 - Aripiprazole
 - Clozapine plus aripiprazole prevents hospitalizations
 - Improves metabolic profile
 - SSRI antidepressants
 - For demoralization, dysphoria, depression, negative symptoms
 - Topiramate⁴
 - Benefit for psychopathology and weight

¹Lally J et al. Schizophr Res. 2016;171(1-3):215-224. ²Correll CU et al. JAMA Psychiatry. 2017;74(7):675-84.

³Wagner E et al. Schizophr Bull. 2020;46(6):1459-1470. [Expert Consensus TRIP Working Group]

⁴Correll CU et al. J Clin Psychiatry. 2016;77(6):e746-56.

Pimavanserin

SSIA = Selective Serotonin Inverse Agonist

5-HT_{2A} inverse agonist

- Mechanism of action¹
 - Antagonist/inverse agonist at serotonin 5HT_{2A} receptors
 - Less potent antagonist/inverse agonist at 5HT_{2C} receptors
- 2016 FDA-approval for psychosis in Parkinson's disease (Nuplazid)^{2,3}
- Clinical case series (N=10) for TRS⁴
- Phase III 6-week add-on trial in (somewhat) TRS (Acadia's ENHANCE-1)⁵
 - Negative results for psychosis (PANSS total score)

¹Stahl SM. CNS Spectr. 2016;21:271-5. ²Cummings J et al. Lancet. 2014;383(9916):533-40.

³Mathis MV et al. J Clin Psychiatry. 2017; 78(6):e668-e673. ⁴Nasrallah HA et al. Schizophr Res. 2019;208:217-220.

⁵ClinicalTrials.gov Identifier: NCT02970292.

Non-pharmacological augmentation

- Target psychiatric comorbidities¹
 - Agoraphobic avoidance, worry, self-esteem, insomnia
 - Dimensions of psychopathology
 - Affective symptoms
 - Negative symptoms
 - Cognitive symptoms
- Tools
 - Third-wave psychotherapies
 - Psychosocial rehabilitation
 - Exercise²

Ancillary CBT for:

- ✓ Residual psychosis
- ✓ Negative symptoms
- ✓ Depression

¹Freeman D et al. Schizophr Res. 2019;211:44-50.

²Girdler SJ et al. Psychopharmacol Bull. 2019;49(1):56-69. [review]



**“However beautiful the strategy*,
you should occasionally look at
the results.**”**

-Sir Winston Churchill

*** = what your clinic does**

**** = how your patient is doing**

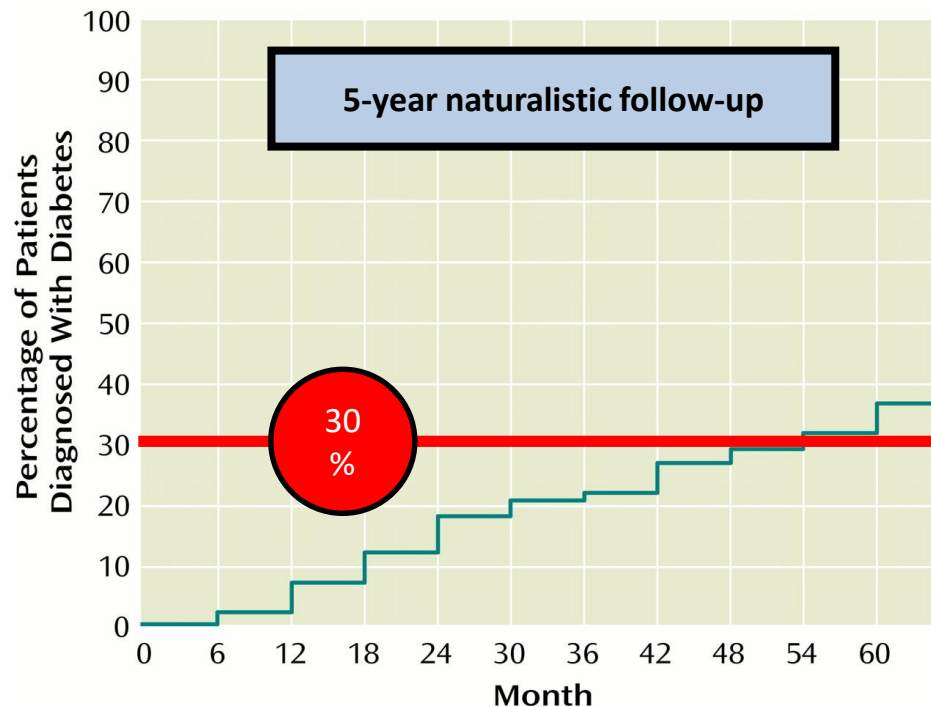
Haas LF. JNNP 1996;61(5):465.

The need to focus on mortality



The day the music died

ELMHC clozapine cohort



Henderson DC et al. Am J Psychiatry. 157(6):975-981.

Laursen TM. Curr Opin Psychiatry. 2019;32(5):388-93. Meta-analysis
Olfson M et al. JAMA Psychiatry 2015;72(12):1172-81.



Greatly decreased life expectancy

Natural causes: 85%

Unnatural causes: 15%

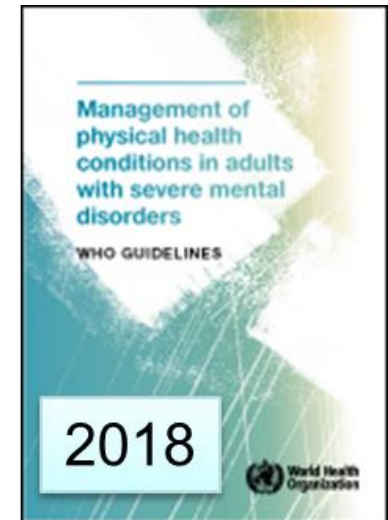
Two main medical causes:

#1 Cardiovascular disease

#2 Cancer

Proactive medical management

- Iatrogenic complications
- But: worst outcomes in untreated patients with schizophrenia^{1,2}
- Iatrogenic complications
- Proactive (preventive) treatment
 - Metformin³
 - Behavioral interventions⁴



¹Vermeulen JM et al. Schizophr Bull. 2019;45(2):315-29.

²Taipale H et al. World Psychiatry. 2020;19(1):61-8.

³Siskind DJ et al. PLoS One. 2016;11(6):e0156208. [meta-analysis]

⁴Ward MC and Druss BG. JAMA Psychiatry. 2019;76(7):759-60. [JAMA Network Insights]

REFLECTIONS



Contributors to poor outcomes

- Unresponsive biology*

Health disparities in society are magnified during COVID-19.

- Time spent psychotic, in hospitals, or idle at home
- Poor access to treatment and no care
- Substandard psychiatric care
- Poor engagement in ongoing care and poor adherence
- Substance use
- Comorbid medical disorders
- Multiple social determinants of health

Zipursky RB. J Clin Psychiatry. 2014; 75 Suppl 2:20-4.

Bartels S et al. Psychiatr Serv. 2020;71(10):1078-1081.

Kinon BJ. Front Psychiatry. 2019;9:757.

*Nasrallah HA. Curr Psychiatry. 2021;20(3):14-16;28. [Editorial]



Research: thinking outside the box

- Lu AF35700
 - Predominant D1 vs. D2 receptor antagonist
 - Profile comparable to clozapine
 - High occupancy 5-HT_{2A} and 5-HT₆ serotonin receptors
 - Nightfall for DayBreak [ClinicalTrials.gov Identifier: NCT02717195]
 - No difference in PANSS total score¹
- Non-dopaminergic drugs
 - SEP-363856²
 - Mechanism of action: TAAR-1 agonism
 - Sodium benzoate augmentation³
 - Mechanism of action: DAAO inhibitor
- Targeting neurocircuits
 - Transmagnetic stimulation⁴ and transcranial direct current stimulation⁵

TAAR-1 = Trace amine-associated receptor 1
DAAO = D-amino acid oxidase

¹<https://investor.lundbeck.com/news-releases/news-release-details/lundbeck-updates-clinical-phase-iii-study-lu-af35700-treatment>

²Koblan KS et al. N Engl J Med. 2020;382(16):1497-1506. Goff DC. N Engl J Med. 2020;382(16):1555-1556. [Editorial]

³Lin CH et al. Biol Psychiatry. 2018;84(6):422-432.

⁴Brady RO et al. Am J Psychiatry 2019;176(7):512–520. ⁵da Costa L et al. JAMA Psychiatry. 2020;77(2):121-129.

Xanomeline

- Muscarinic agonist
 - *Orthosteric* muscarinic acetylcholine receptor (mAChR) agonist
 - M1/M4-preferring; M5 antagonist
 - Effective for treatment of schizophrenia¹
 - Schizophrenia subtype: low cortical M1 receptor density²
 - Poor tolerability due to dose-limiting peripheral action: early trials with patch in DAT
- Co-formulated with trospium as KarXT
 - Trospium (brand name Sanctura) = FDA-approved peripheral muscarinic antagonist for overactive bladder; 20 mg bid
 - Met primary endpoint in Phase II trial, with improved tolerability³
- Potential treatment targets
 - Schizophrenia: psychosis, negative symptoms, cognition
 - Alzheimer's disease: psychosis, cognition
 - Analgesic

¹Shekhar A et al. Am J Psychiatry. 2008 Aug;165(8):1033-9.

²Dean B et al. Schizophr Bull. 2018 Apr; 44(Suppl 1): S70–S71. Hopper S et al. Int J Neuropsychopharmacol. 2019;22(10):640-650.

³Brennan SK et al. N Engl J Med 2021;384:717-26.

Rudolf Virchow



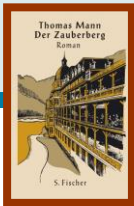
„Die Medizin ist eine soziale Wissenschaft, und die Politik ist nichts weiter als Medizin im Großen.“

– Rudolf Virchow, 1821-1902



Waitzkin H. Social Medicine. 2006;1:5-10.

Plus ça change, plus c'est la même chose



1924

VIEWPOINT

Improving Long-term Psychiatric Care Bring Back the Asylum

Dominic A. Sisti, PhD
Department of Medical
Ethics and Health
Policy, Perelman School
of Medicine at the
University of
Pennsylvania,
Philadelphia.

reflection

Sisti DA et al. JAMA 2015;313:243.

*On Asylums: Essays on the Social Situation of Mental Patients and other
Inmates, by Erving Goffman*

Nick Bouras

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**Ezekiel J. Emanuel,
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Ethics and Health
Policy, Perelman School
of Medicine at the
University of
Pennsylvania,
Philadelphia.

BJPsych The British Journal of Psychiatry 2014;
205, 423-424. doi: 10.1192/bjp.bp.114.155704

Editorial

Can there be false hope in recovery?

Patrick W. Corrigan



Summary

Although hope is key to recovery, might the course of some
people's mental illness be so severe that false promise is
offered? This paper expands considerations and, after a
critical analysis, concludes hope is still central to healing and
personal well-being.

Declaration of interest

None.

A third sobering lesson can be taken from the findings of Killaspy and colleagues' negative trial: if even well-resourced units with determined input from dedicated teams do not improve patients' functioning at the severe end of the disorder, perhaps we should shed our current orthodoxy that demonises the asylum function of psychiatric care, to provide a place of safety, refuge, and protection. Until we make a major therapeutic breakthrough, we should ensure that we do not keep cutting the number of long-stay beds in the hope that simply discharging patients into the community will improve outcomes. Good mental health care needs investment in all aspects. No amount of community investment will ever obviate the need for some hospital beds. If communities were all that therapeutic people

"A battle for resources"
Singh S. Lancet Psychiatry 2015;2:3.



1995

Beyond monitoring: need for action



- Physical health monitoring (screening) *alone* does not improve mortality
- Improving physical health through intervention¹
 - Psychiatric stability
 - Dietary and exercise interventions
 - Choice and duration of antipsychotic prescribing
 - Pharmacological support for smoking cessation
 - Screening for health conditions
 - Proactive and preventive use of metformin
- Correct (*standard*) medical treatment saves lives²

¹Ilyas A et al. Br J Psychiatry. 2017;211:194-96.

²Kugathasan P et al. JAMA Psychiatry. 2018;75:1234-40.

Ward MC and Druss BG. JAMA Psychiatry. 2019;76(7):759-60. [JAMA Network Insights]

Clinical Peals for Patients in Stage 3/4

- Retain an optimistic stance
- Focus on quality of life and rehabilitation
- Pay attention to physical health
- Forget “evidence-based medicine”
 - Compassionate use of experimental treatments
 - Offer time-limited trials and stop treatments that do not work
 - Judicious use of polypharmacy
- Conduct periodic treatment plan review
- Remember humanism in medicine

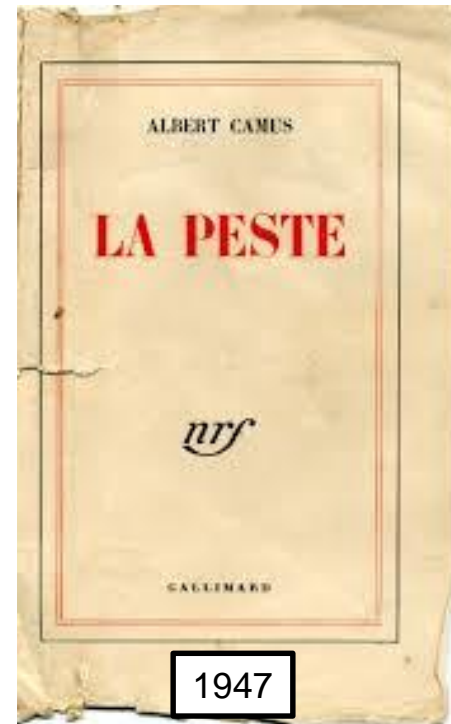
<https://www.psychiatrictimes.com/view/stage-specific-treatment-of-psychotic-disorders>

Albert Camus

‘This whole thing is not about heroism. It’s about decency. It may seem a ridiculous idea, but the only way to fight the plague is with decency.’

‘In general, I can’t say, but in my case I know that it consists in doing my job.’

- Doctor Bernard Rieux



Thank you!

Website

APA SMI Adviser project. <https://smiadvise.org/>

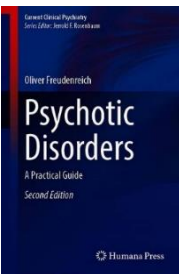
Journal article

Kane JM et al. Clinical Guidance on the Identification and Management of Treatment-Resistant Schizophrenia. J Clin Psychiatry. 2019 Mar 5;80(2). pii: 18com12123.

Wagner E et al. Clozapine combination and augmentation strategies in patients with schizophrenia -recommendations from an international expert survey among the Treatment Response and Resistance in Psychosis (TRIP) Working Group. Schizophr Bull. 2020;46(6):1459-1470.

Book

Freudenreich O. Treatment-resistant schizophrenia. In: Psychotic disorders. A practical guide (2nd edition). Humana Press/Springer Verlag. 2020; pp 157-170.



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