



Can we prevent schizophrenia?

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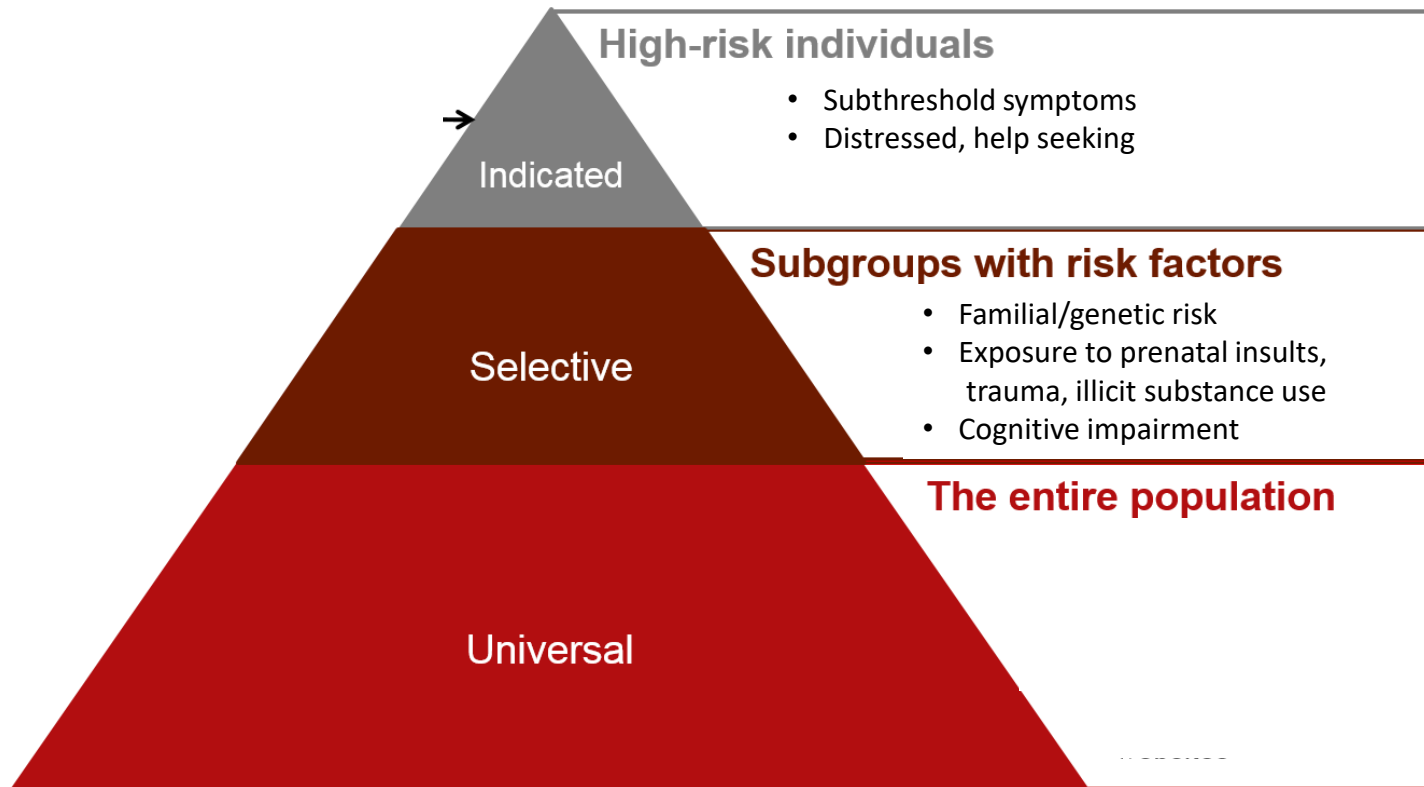
Associate Professor, Harvard Medical School

Disclosures

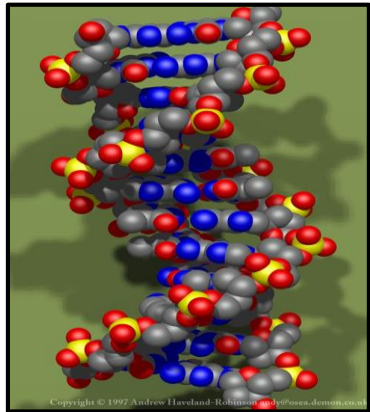
Neither I nor my spouse/partner has a relevant financial relationship with a commercial interest to disclose.

Prevention in Psychiatry: how does it work?

Categories of risk and preventive interventions:



Modifiable and Non-Modifiable Risk Factors

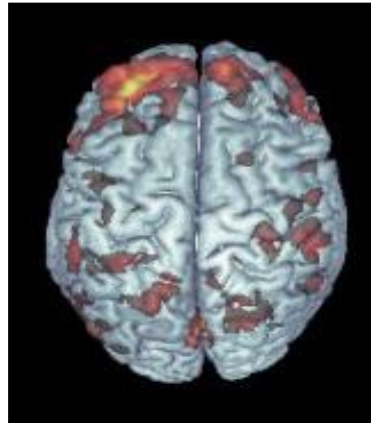


GENES



PAST EXPERIENCES

e.g., difficulties in childhood,
such as loss of a parent, abuse,
neglect

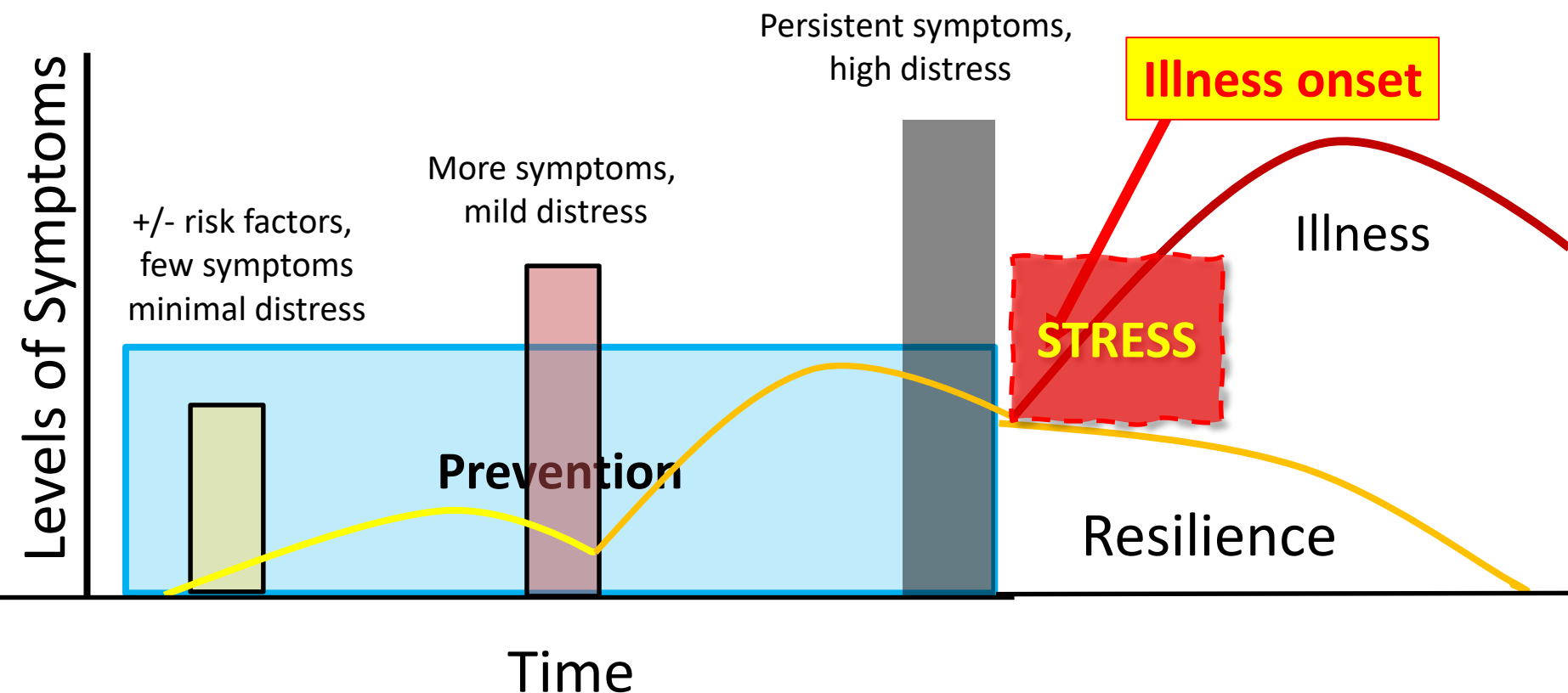


BRAIN CHARACTERISTICS



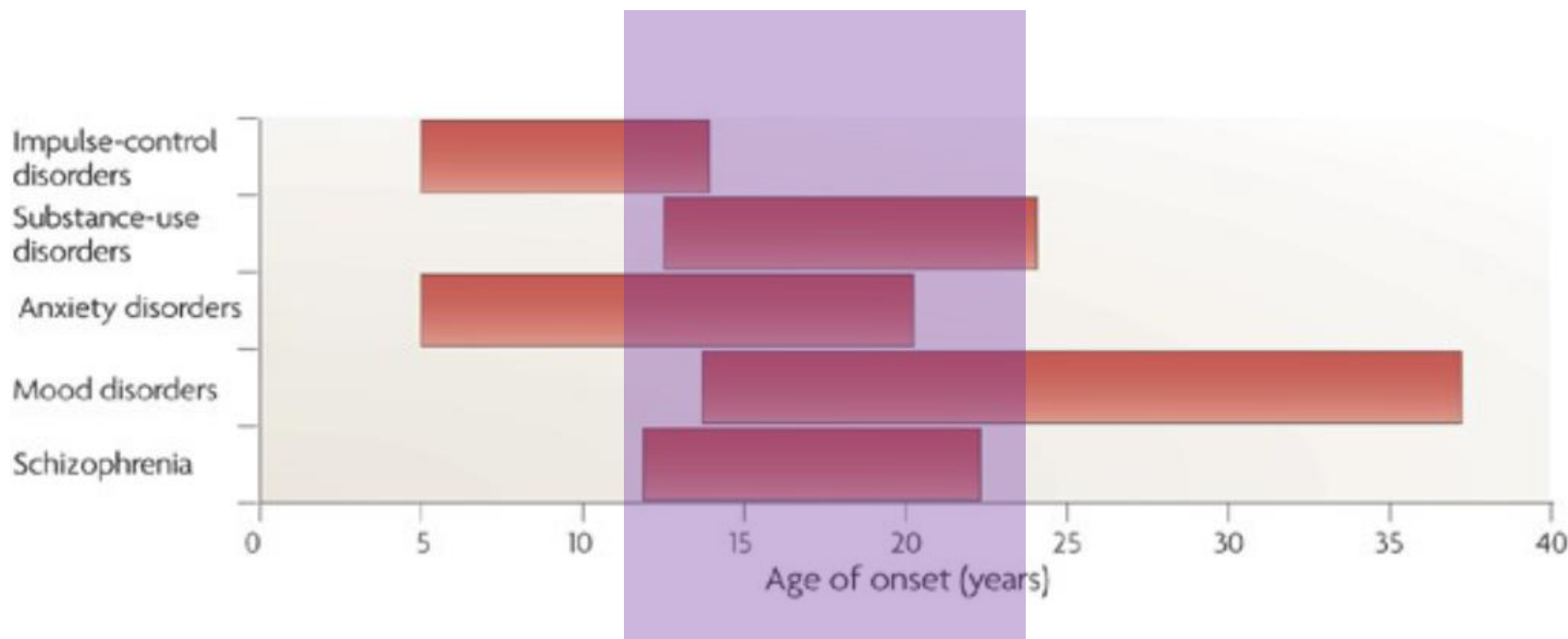
PATTERNS OF THOUGHT

When during the illness trajectory can we intervene?



When during development should we intervene?

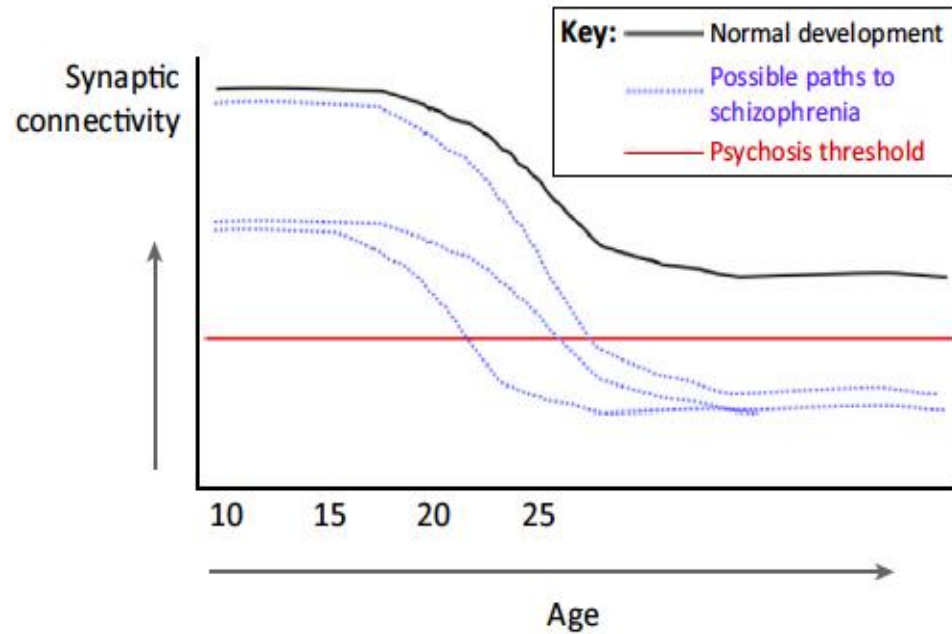
Adolescence/young adulthood is a time of life when many mental illnesses emerge for the first time



When during development should we intervene?

Why is there an increased vulnerability to developing a mental illness during late adolescence & early adulthood?

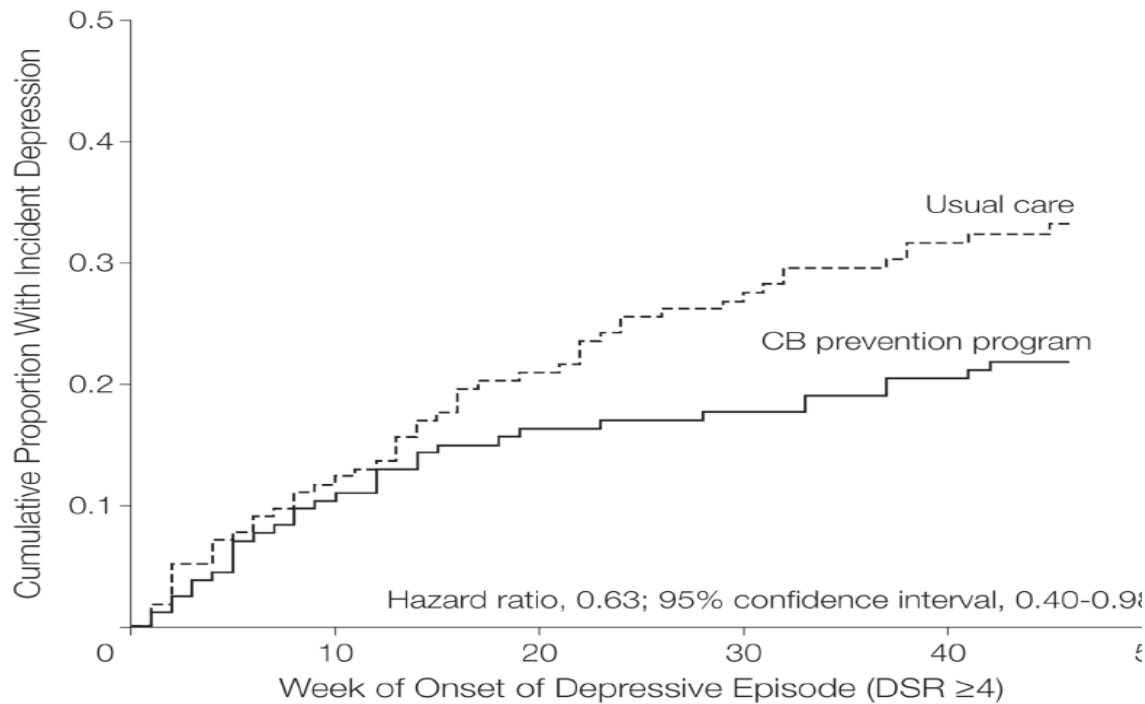
- may be due to a greater sensitivity of the brain to the effects of hormonal changes and stress
- or an acceleration of the loss of synapses that normally occurs during this period



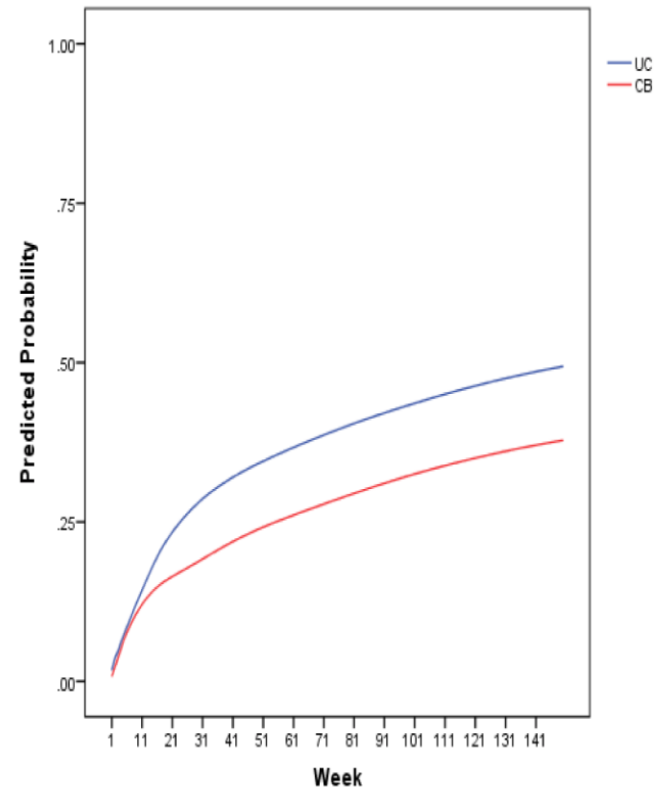
Cannon, TICS 2015

Can any psychiatric disorder be prevented?

Example: Depression



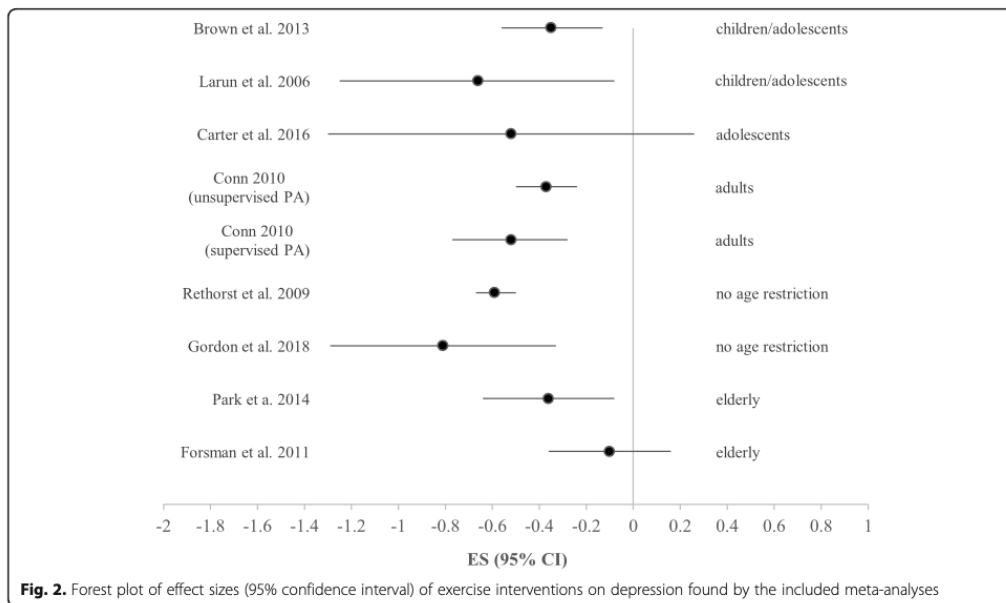
Garber et al, JAMA 2009



Beardslee et al, JAMA Psych 2013

Meta-analyses of depression prevention studies

- A meta-analysis of 32 RCTs of psychological interventions (mainly CBT, group or individual) found a **21% reduction** in incidence of depression compared to the control group (Van Zoonen et al, 2014)
- A meta-analysis of 8 studies of the efficacy of exercise in preventing depressive symptoms also demonstrated positive effects (Hu et al, 2020)



What about schizophrenia?

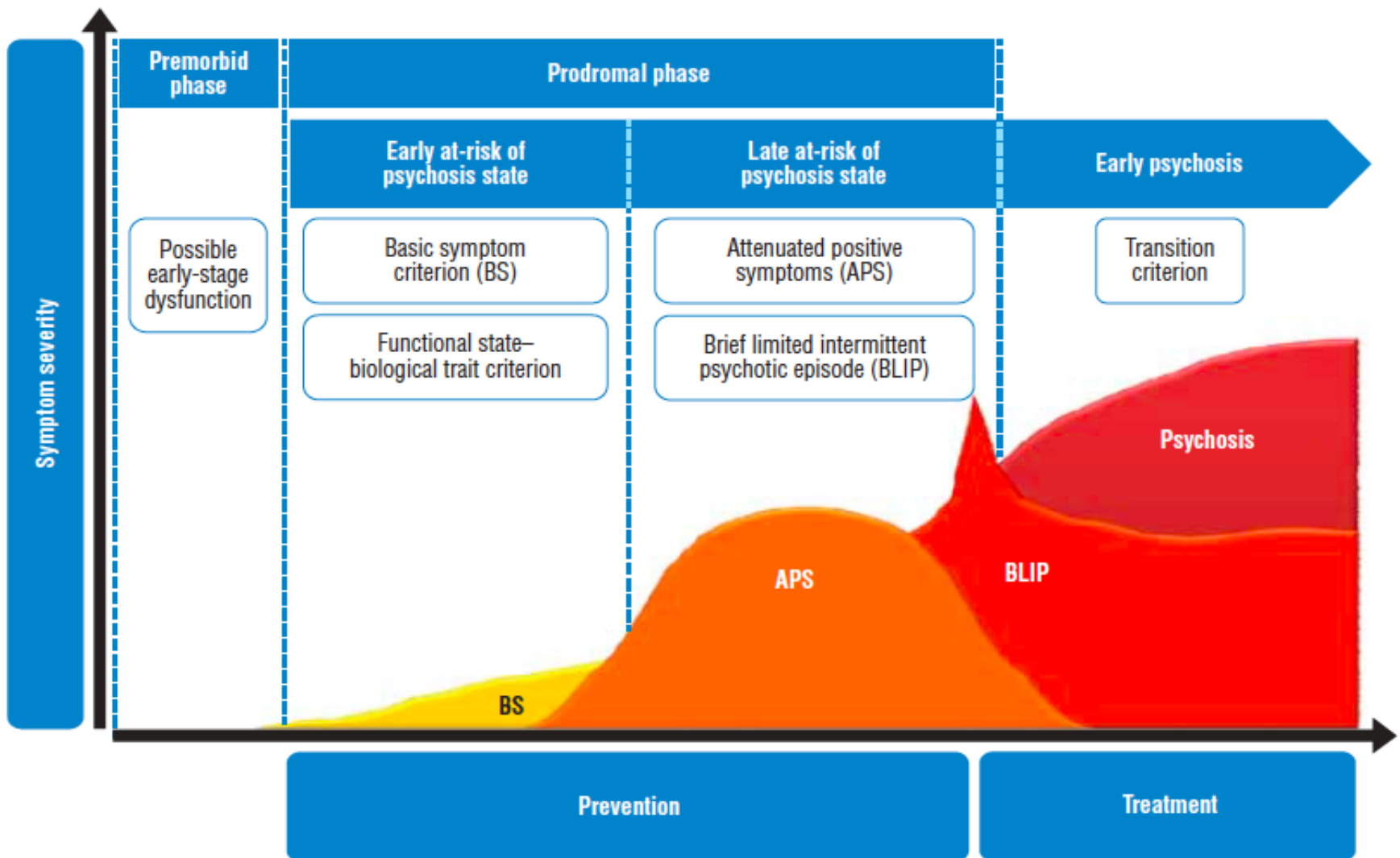
Interventions most often tested to date (during the past 2 decades) for their ability to prevent the onset of psychosis:

- Antipsychotic medication
- Cognitive behavioral therapy (CBT) and related psychotherapies
- Nutritional supplements, most commonly omega-3-fatty acids (fish oil)
- Target risk group: individuals with subsyndromal psychotic symptoms
 - “Ultra-High Risk” (UHR) or “Clinical High Risk” (CHR)



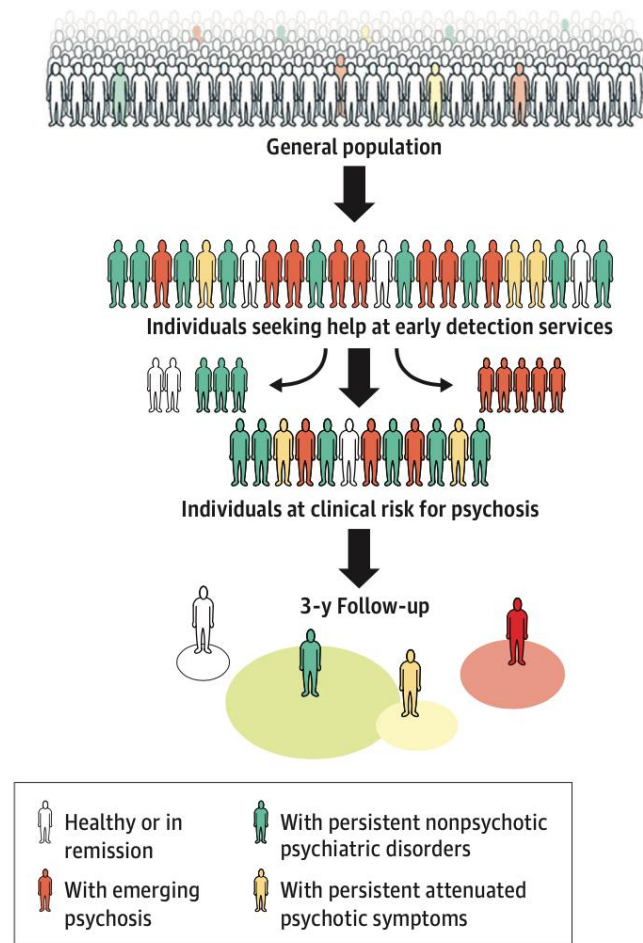
fish oil supplements

Phases of psychosis risk



What is CHR and UHR?

- These are virtually identical research designations of clinical risk for psychosis (based on the presence of subclinical positive symptoms), measured using 2 highly overlapping scales
 - Clinical High Risk (**CHR**) for psychosis, assessed using the *Structured Interview for Prodromal Symptoms (SIPS)* (Miller et al, 1999)
 - Ultra High Risk (**UHR**) for psychosis, assessed using the *Comprehensive Assessment of At-Risk Mental States (CAARMS)* (Yung et al, 2005)

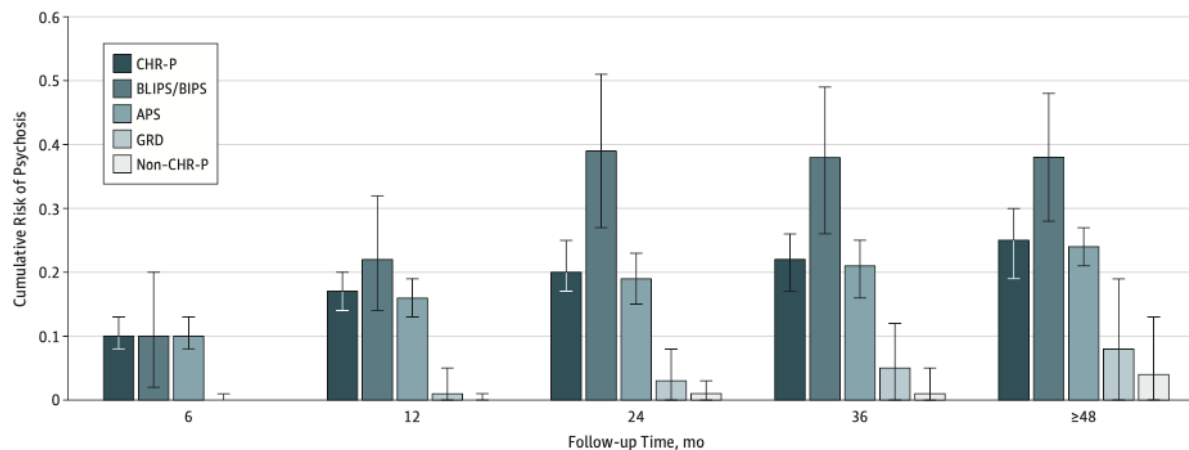


What is the risk of developing psychosis for these at-risk individuals?

Three categories of UHR/CHR:

- 1) Attenuated Psychosis Syndrome (APS) or Attenuated Positive Symptom Syndrome (APSS)
- 2) Brief Limited Intermittent Psychotic Symptoms (BLIPS) or Brief Intermittent Psychotic Syndrome (BIPS)
- 3) Genetic Risk and Deterioration syndrome (GRD)

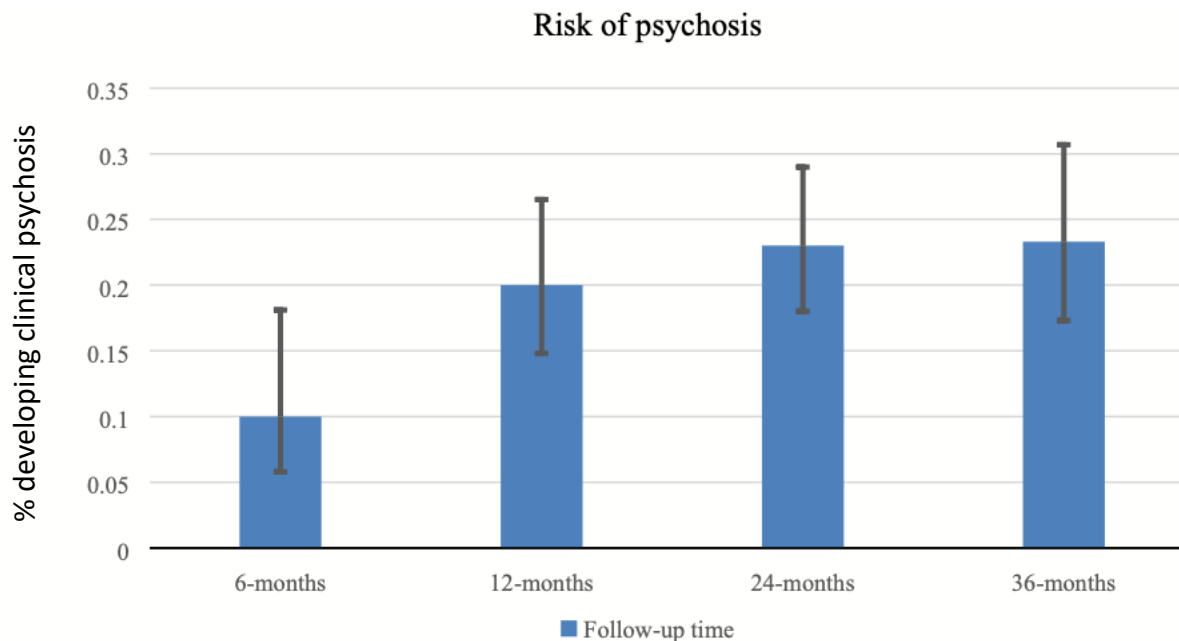
Figure 4. Cumulative Risk of Developing Psychosis in Individuals at Clinical High Risk for Psychosis (CHR-P)



Fusar-Poli et al, JAMA Psych 2020

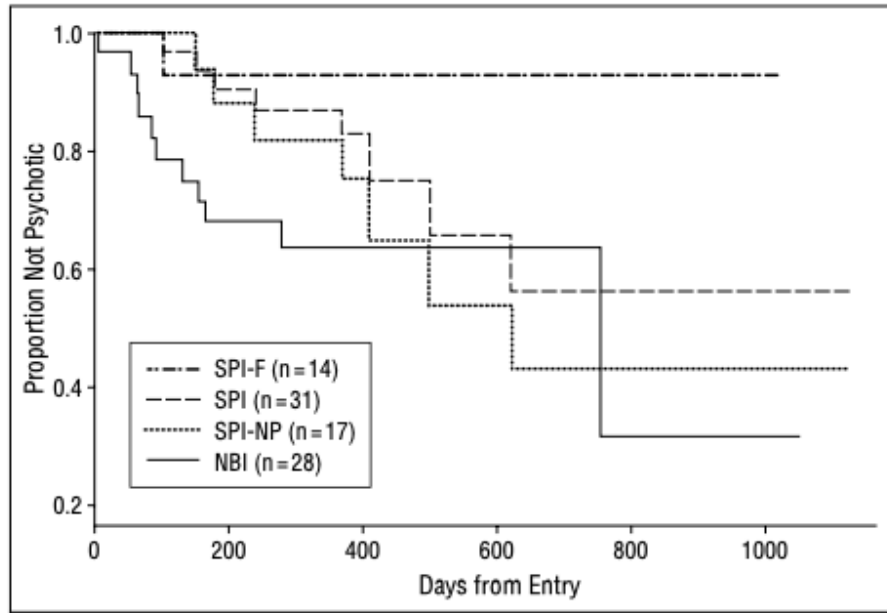
What about in adolescents?

In 4,667 CHR/UHR adolescents (age 12-18, mean 15.6 years):

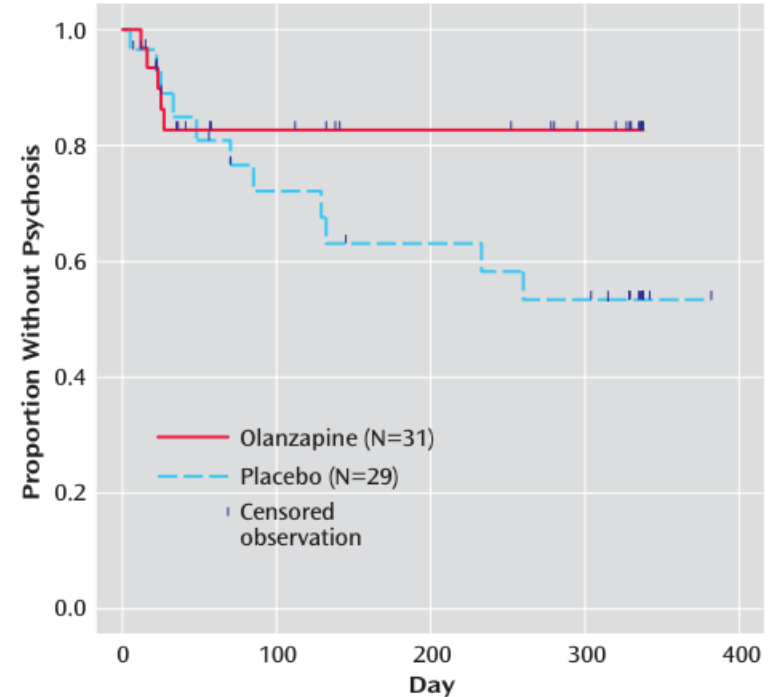


Catalan et al, J Child Psychology and Psychiatry 2020

Can antipsychotic medication prevent psychosis?



McGorry et al, Arch Gen Psych 2002



McGlashan et al, Am J Psych 2006

Initially seemed promising, but subsequent trials and longer follow-up periods have shown that antipsychotics are not effective in preventing the onset of psychotic illness in at-risk individuals (CHR/UHR)

Can Cognitive Behavioral Therapy (CBT) prevent psychosis?

Treatment group	PANSS transition <i>n</i> (%)	Antipsychotic medication <i>n</i> (%)	DSM-IV psychosis diagnosis <i>n</i> (%)
Cognitive therapy	2 (6)	2 (6)	2 (6)
Monitoring	5 (22)	7 (30)	6 (26)

PANSS, Positive and Negative Syndrome Scale.

Morrison et al, Br J Psych 2004

But three years later...

Treatment Group	Follow-up Rate, <i>N</i> (%)	PANSS Transition, <i>N</i> (%)	Antipsychotic Medication, <i>N</i> (%)	<i>DSM-IV</i> Psychotic Diagnosis, <i>N</i> (%)
Cognitive therapy (<i>N</i> = 35)	17 (49)	7 (20)	5 (14)	7 (20)
Monitoring (<i>N</i> = 23)	10 (43)	5 (22)	8 (35)	7 (30)

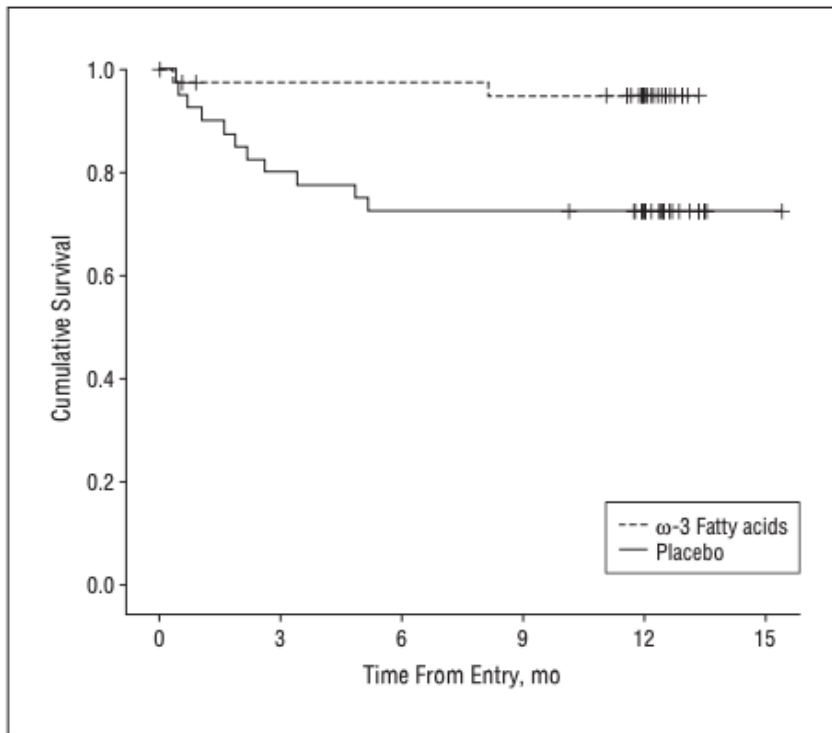
Morrison et al, Schiz Bull 2007

A larger, multi-site trial...

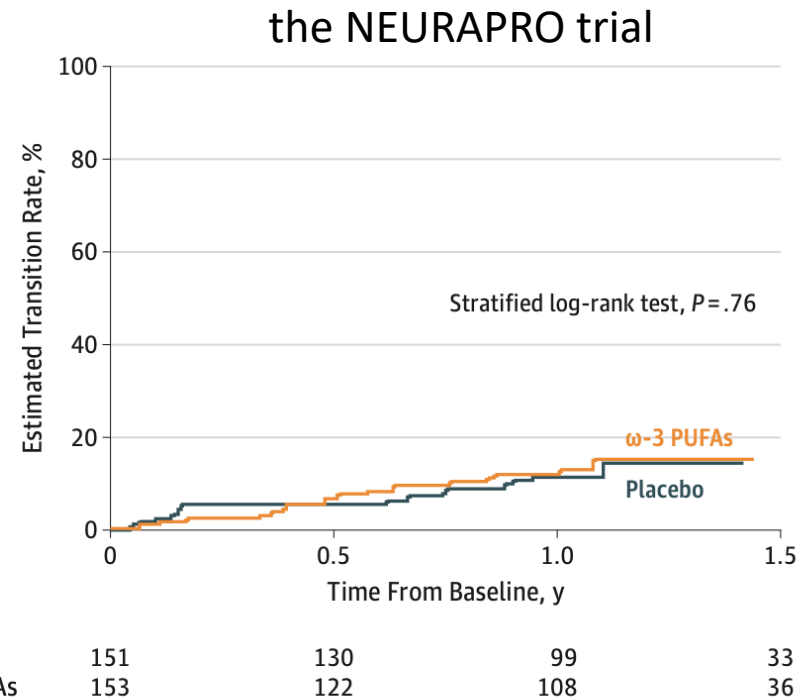
Group	Month of assessment											
	1	2	3	4	5	6	9	12	15	18	21	24
Monitoring (cumulative total)	1	2 (3)	0 (3)	2 (5)	1 (6)	0 (6)	3 (9)	1 (10)	0 (10)	1 (11)	1 (12)	1 (13)
Cognitive therapy (cumulative total)	2	1 (3)	1 (4)	1 (5)	1 (6)	0 (6)	1 (7)	0 (7)	0 (7)	1 (8)	0 (8)	2 (10)
Maximum No	288	288	288	288	288	288	288	288	251	224	195	164

Morrison et al, BMJ 2012

Can Omega-3-Fatty Acids prevent psychosis?



Amminger et al, Arch Gen Psych 2010



McGorry et al, JAMA Psych 2017

However, in NEURAPRO, higher baseline levels of, or greater increases in, omega-3-fatty acids predicted greater clinical improvement (Amminger et al, Biol Psych 2019)

Inconsistent results and findings of different meta-analyses

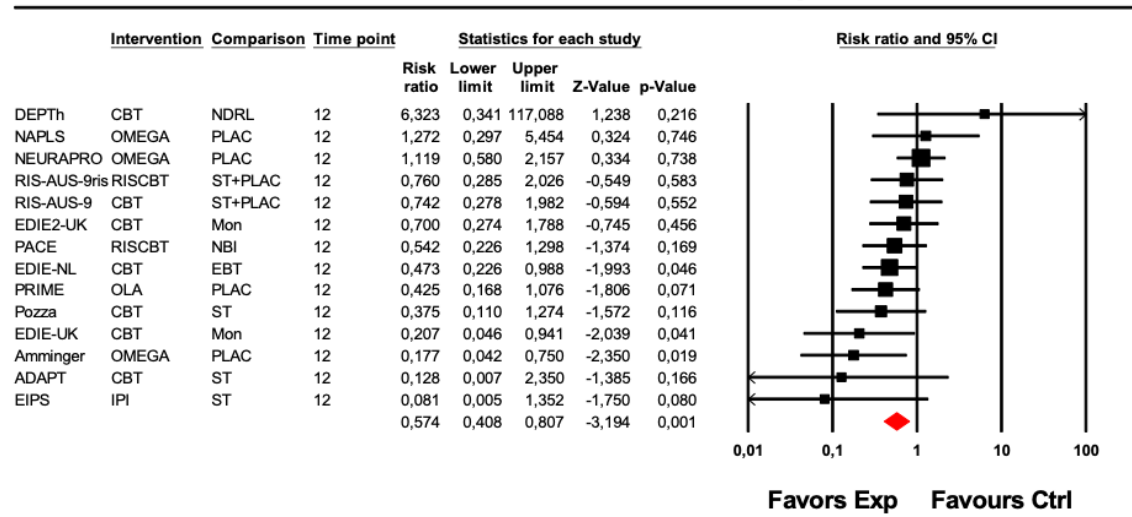
Lack of evidence to favor specific preventive interventions in psychosis: a network meta-analysis

Cathy Davies¹, Andrea Cipriani², John P.A. Ioannidis³⁻⁷, Joaquim Radua^{1,8,9}, Daniel Stahl¹⁰, Umberto Provenzano^{1,11}, Philip McGuire^{12,13}, Paolo Fusar-Poli^{1,11,13,14}

Davies et al, World Psychiatry 2018; also see Davies et al Front Psych 2018 and Fusar-Poli et al, Front Psych 2019

However, a pooling of studies produced a different result...

Risk Ratios at 12-mont follw-up



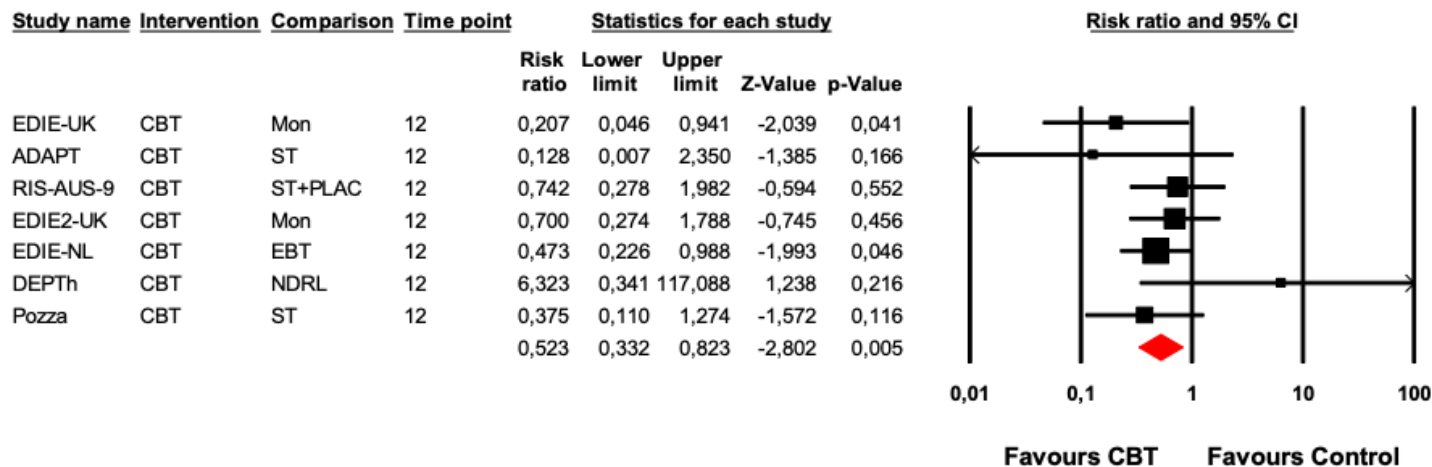
NNT = 16, with the risk of transition to psychosis reduced overall by 43%

- no significant effects on functioning, quality of life

Mei et al, Clin Psych Rev, 2021

CBT may have a preventive (or delaying) effect

Pooled effects of CBT on transition rate at 12 months

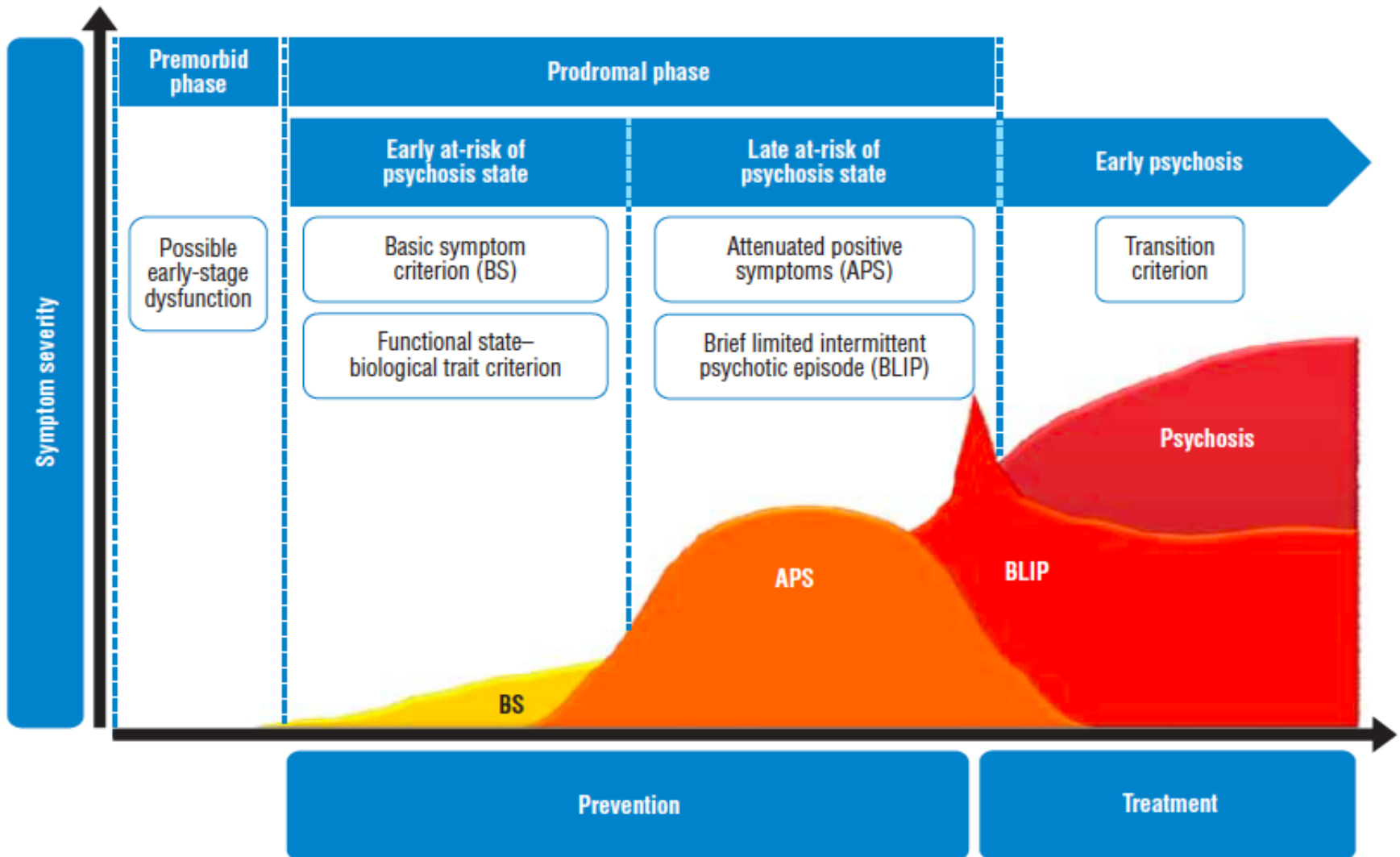


Mei et al, Clin Psych Rev, 2021

What are the reasons for the weak, inconsistent effects?

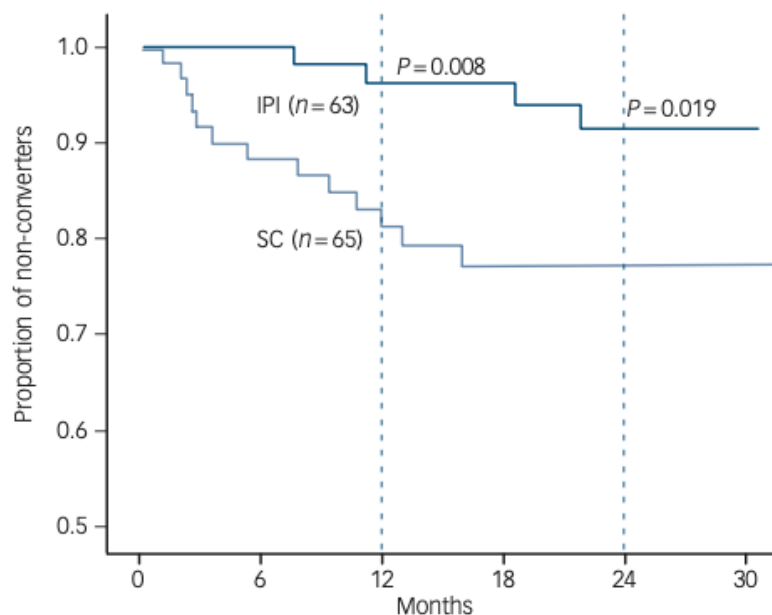
- Low transition rates in later studies (perhaps due to a greater amount of community-based recruitment), leading to inadequate power
- Efficacy of the control condition in reducing transition rates, i.e., both groups improved clinically, potentially due to overall improvements in “usual” care for this population
- Heterogeneity within the CHR population: some with more severe, persistent symptoms, others with transient symptoms, potentially linked to different underlying biological mechanisms

Phases of psychosis risk



Earlier target?

- An RCT of an Integrated Psychological Intervention (a combination of family, group and individual treatment, plus cognitive remediation) vs. supportive counseling in individuals with “basic symptoms”—cognitive and perceptual changes, with little disability



**Significant effects,
requires replication**

Bechdolf et al, Br J Psych 2012

“Transdiagnostic” at-risk states

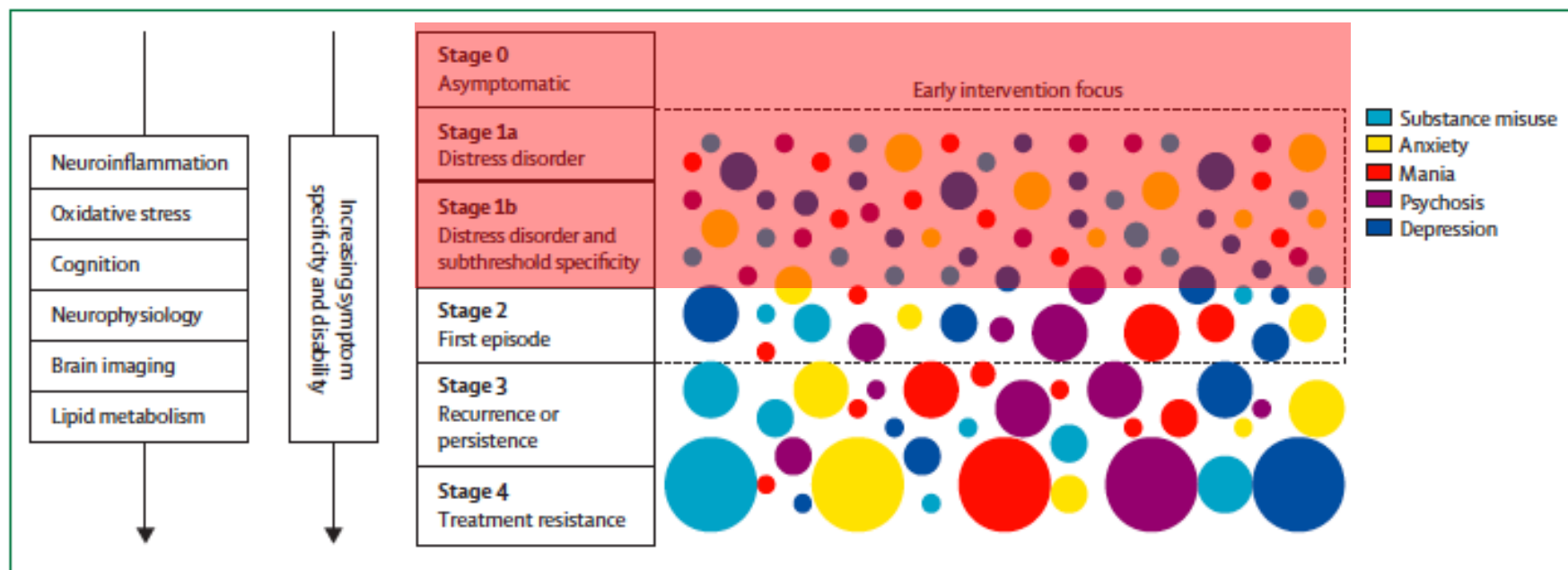


Figure: Biomarkers and clinical staging in psychiatry

Nieman and McGorry, Lancet 2015

Earlier, transdiagnostic target?

- **Psychotic experiences (PEs)** are low-level subclinical psychotic symptoms that are typically benign but increase risk for developing a psychotic disorder 2-6 fold, depending on their severity, persistence and how distressing they are
- People with PEs and/or mild depression received a brief intervention called **Resilience Training (RT)** (Burke et al, 2020) - a 4 session, group-based therapy which focuses on teaching 3 evidence-based skills:



Mindfulness

- Shown to reduce a wide range of mental health symptoms



Self-Compassion

- Shown to lower levels of distress

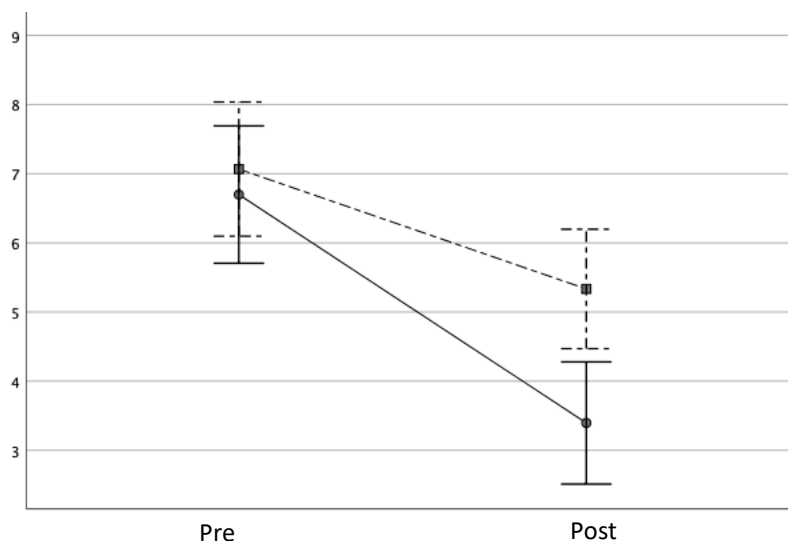


Mentalization

- Shown to improve social functioning

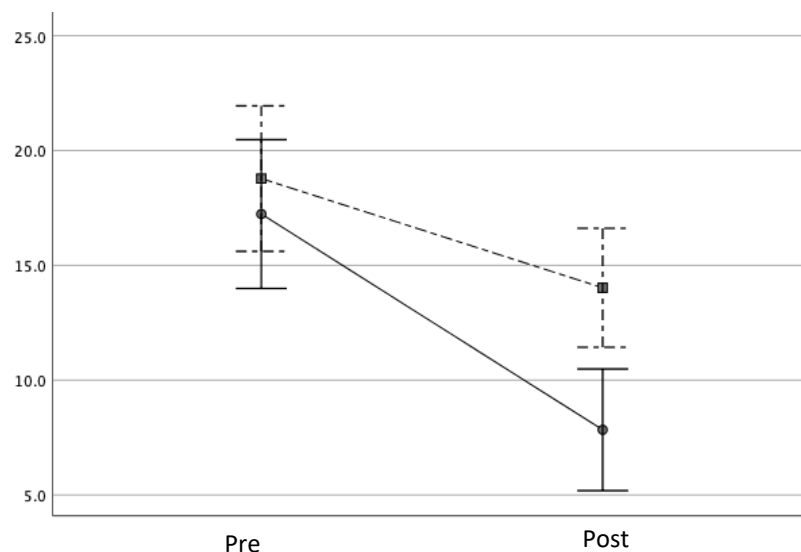
Resilience Training for early stage, transdiagnostic risk

In an RCT, Resilience Training (RT) led to significant decreases in PEs and distress associated with PEs



Total Psychotic Experiences

Group x time interaction:
 $F(1,86) = 7.66, p = .007$



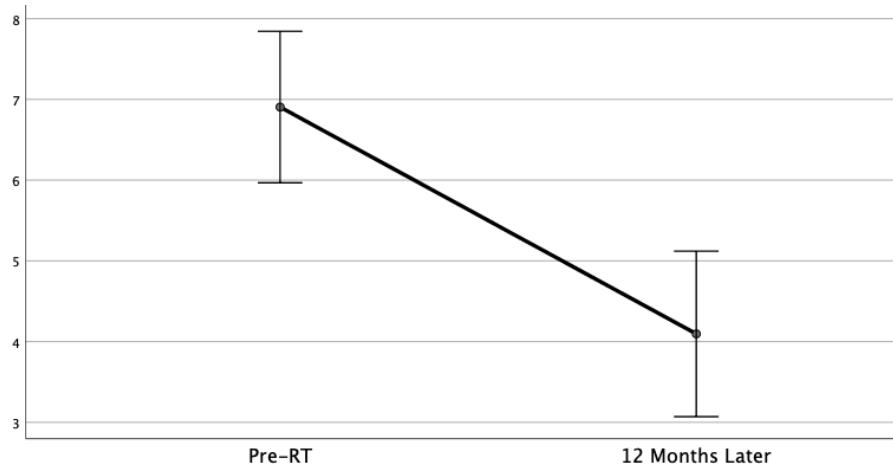
Distress from Psychotic Experiences

Group x time interaction:
 $F(1,86) = 7.46, p = .008$

— Resilience Training (n = 43) - - - - Waitlist Control (n = 45)

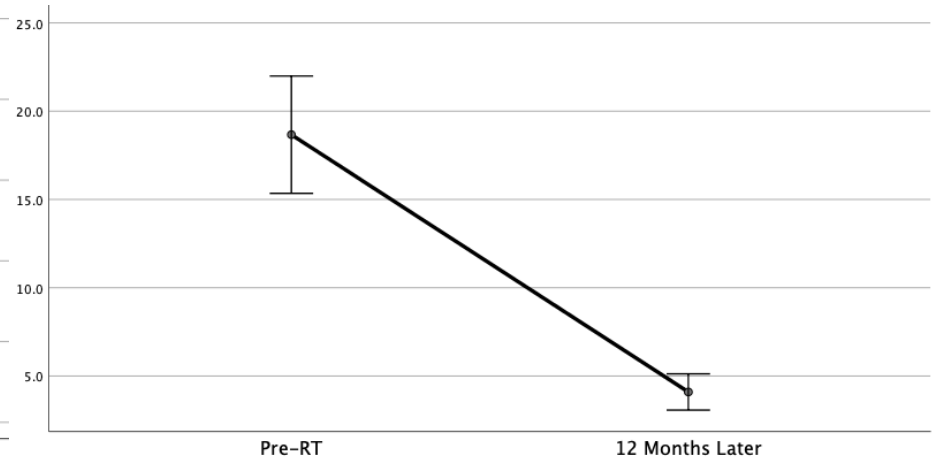
Resilience Training for early stage, transdiagnostic risk

These effects were maintained 12 months later



Total Psychotic Experiences

$t(41) = 5.93, p < .001, d = -.96$



Distress from Psychotic Experiences

$t(41) = 4.53, p < .001, d = -.79$

Luther, DeTore et al, in preparation

Novel interventions for the prevention of psychosis currently under study

- Mindfulness-based interventions
- Neurocognitive and social cognitive remediation
- Exercise
- Neurofeedback
- Transcranial Direct Current Stimulation
- Antidepressants
- Phosphodiesterase inhibitors
- Oxytocin
- Cannabidiol
- Aspirin
- Minocycline
- N-Acetylcysteine
- Sulforaphane



neurofeedback

Other ways to define psychosis risk

- Risk calculators, machine learning
- Electronic health records
- Polygenic risk score for schizophrenia, other biomarkers

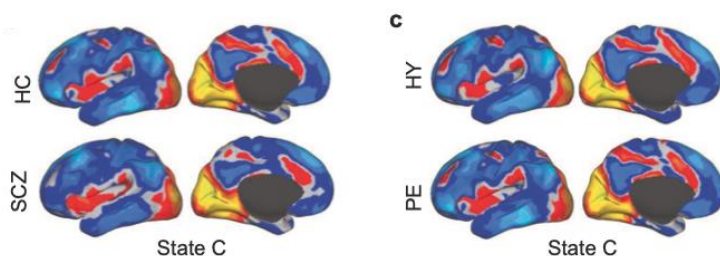
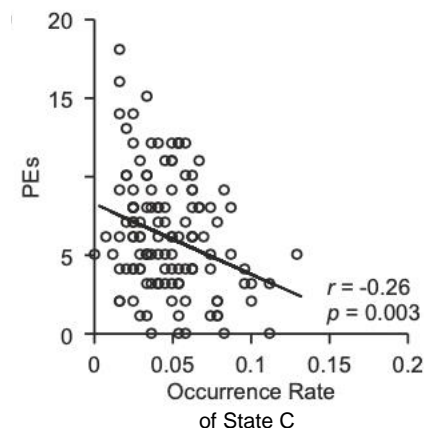
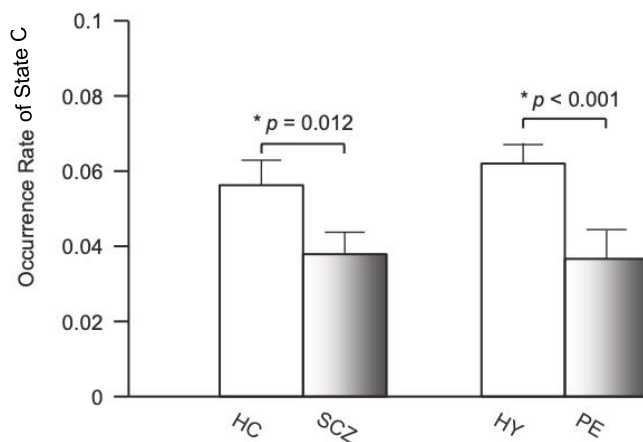
Predictors of conversion to psychosis in 596 CHR participants from the second phase of the North America Prodrome Longitudinal Study (NAPLS 2), elements of a “risk calculator”:

Predictor	Multivariate Model			C-index ^a	
	Hazard Ratio	95% CI	p	Decrement if removed	Increase if added
Modified SIPS P1+P2	2.1	1.6 – 2.7	<0.001	0.092	N/A ^b
Decline in social functioning	1.3	1.1 – 1.5	0.01	0.014	0.015
HVLT Trials 1–3 summed	0.8	0.6 – 0.9	0.05	0.007	0.029
Digit Symbol raw score correct	0.8	0.5 – 1.1	0.10	0.006	0.033
Age	0.7	0.5 – 1.1	0.09	0.004	0.012
Stressful Life Events	1.2	0.9 – 1.6	0.21	0.001	–0.004
Family History of Psychosis	1.2	0.7 – 2.1	0.55	0.000	0.001
Traumas	1.0	0.8 – 1.3	0.99	–0.004	0.002

Cannon et al, Am J Psych 2016

One potential biomarker of psychosis

Diminished occurrence of a network “state” (activation of sensory-limbic circuits) is characteristic of schizophrenia AND subsyndromal psychosis (those with psychotic experiences, PEs)



The anatomical distribution of this state is not different among the groups

Wang et al, Mol Psych 2020

HC, healthy controls; SCZ, individuals with schizophrenia;
HY, healthy youth; PE, individuals with psychotic experiences

The Attenuated Psychosis Syndrome

- Recommendations for treatment of patients with the **Attenuated Psychosis Syndrome** (APS, currently listed in the Appendix of DSM-5):
 - Close monitoring of mental status, given elevated psychosis risk, with treatment of co-morbid psychiatric symptoms as indicated (depression, anxiety, substance misuse)
 - Supportive therapy
 - Problem solving focus
 - Consider CBT, omega-3-fatty acids
 - Treatment with antipsychotic medications is not recommended, due to their unfavorable risk-benefit ratio, unless risk of self-harm or aggression is present

Tsuang et al, Schiz Res 2013; Mei et al, Clin Psych Rev 2021

Criteria for APS:

- A. At least one of the following symptoms are present in attenuated form with sufficient severity and/or frequency to warrant clinical attention:
 1. delusions/delusional ideas
 2. hallucinations/perceptual abnormalities
 3. disorganized speech/communication
- B. Symptoms in Criterion A must be present at least once per week for the past month.
- C. Symptoms in Criterion A must have begun or worsened in the past year.
- D. Symptoms in Criterion A are sufficiently distressing and disabling to the individual and/or legal guardian to lead them to seek help.
- E. Symptoms in Criterion A are not better explained by any other DSM-5 diagnosis, including Substance-Related Disorders.
- F. Clinical criteria for a Psychotic Disorder have never been met

Conclusions & Future Directions

- Can we prevent schizophrenia? We don't know yet...
- Advances in ongoing and future research and clinical care:
 - Target both **narrower** (e.g., biomarker-based) and **broad** (early, transdiagnostic) **at-risk states**
 - Use **adaptive** study designs (e.g., SMARTs: Sequential Multiple Assignment Randomized Trials) and treatment algorithms
 - Account for **heterogeneity**, using a precision/personalized medicine approach
 - Focus on a **broad range of outcomes**, in addition to the onset of clinical psychosis, e.g., day-to-day functioning and quality of life, and transition to a range of diagnostic outcomes
 - Incorporate biological or other objective information as targets and outcomes